

# Dedicated to Bojan Golli (1950 – 2023)



# Bled Mini-Workshops in Physics

First encounter in [1987](#)! Then regularly from 1999





# Baryon inside the pion

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"Monday Physics Colloquium", FMF Ljubljana, 8 May 2023 (on-line)

2018/31/B/ST2/01022

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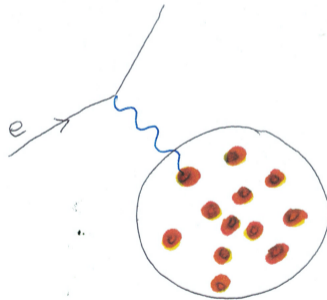
**Pablo Sanchez-Puertas, Enrique Ruiz Arrriola, WB**

PLB 822 (2021) 136680 [arXiv:2103.09131]

PRD 106 (2022) 036001 [arXiv:2112.11049]

(and references therein)

# Some basics of form factors



# Concept of the form factor

Elastic scattering cross section on a point-like vs. extended object  
e.g., the Rutherford or Mott ( $eX \rightarrow eX$ ) scattering

$$\frac{d\sigma}{d\Omega} = \frac{d\sigma}{d\Omega}_{\text{point-like}} |F(\vec{q}^2)|^2$$

momentum transfer  $\vec{q} = \vec{p}_f - \vec{p}_i$ .

Information on the spatial **distribution** of scatterers (charge)  $\rho(r)$  in the target:

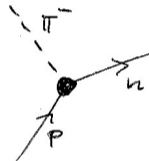
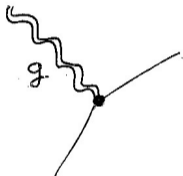
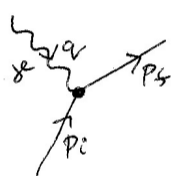
## Form factor

$$F(\vec{q}^2) = \int d^3r e^{i\vec{q}\cdot\vec{r}} \rho(r) = \int d^3r j_0(|\vec{q}|) \rho(r)$$

At low  $q$  we have  $F(\vec{q}^2) = \int d^3r \rho(r) - \frac{1}{6} \vec{q}^2 \int d^3r r^2 \rho(r) + \dots = \text{“charge”} - \frac{1}{6} \vec{q}^2 \text{ msr}$

# Different probes of the structure

electric magnetic strangeness ... mass (gravitational) ... composite operators ... hadronic



scattering amplitude =  $\sum$  tensorial structure  $\times$  form factor (scalar function)

Extracted from scattering data and lattice QCD

# Relativistic kinematics

$$q = p_f - p_i, \quad t = q^2 = q_0^2 - \vec{q}^2 = -Q^2, \quad p_i^2 = p_f^2 = m^2$$

$$F = F(t)$$

“Charge”

$$F(0)$$

Mean squared radius

$$\langle r^2 \rangle = 6 \frac{dF(t)}{dt} \Big|_{t=0}$$

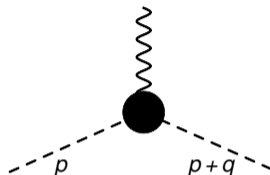
Transverse density

$$\rho(b) = \int \frac{d^2 q_{\perp}}{(2\pi)^2} e^{-i\vec{q}_{\perp} \cdot \vec{b}} F(-\vec{q}_{\perp}^2)$$



# Field theoretic definition

**On-shell** matrix element of an operator at  $x = 0$



Example: electromagnetic form factor of a (pseudo) scalar particle

$$\langle h(p) | J^\mu(0) | h(p+q) \rangle = (2p^\mu + q^\mu) F(q^2)$$

conserved:  $\partial_\mu J^\mu = 0 \rightarrow$  Ward-Takahashi identities

$$\rightarrow q_\mu (2p^\mu + q^\mu) = (p+q)^2 - p^2 = m^2 - m^2 = 0$$

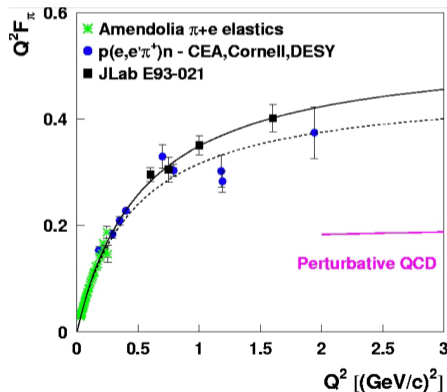
Why the pion?

# Pion - the “hydrogen atom of QCD”

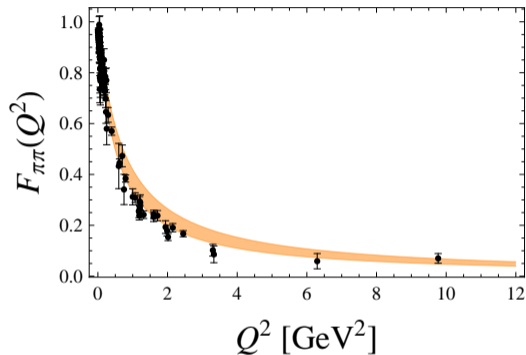
- Simplest and most fundamental hadron – pseudo-Goldstone boson of the **spontaneously broken chiral symmetry**
- Simpler theoretically – there are model approaches working in the **non-perturbative** regime
- Easier than  $p$  on the lattice, there  $\exists$  data
- Experimental data for the **charge form factor**  
[compilation: T. Horn]  $\rightarrow$

- pQCD:

$$F_\pi(Q^2)Q^2 \rightarrow 16\pi\alpha(Q^2)f_\pi^2 [1 + 6.58\alpha(Q^2)/\pi + \dots]$$



# Pion EM form factor



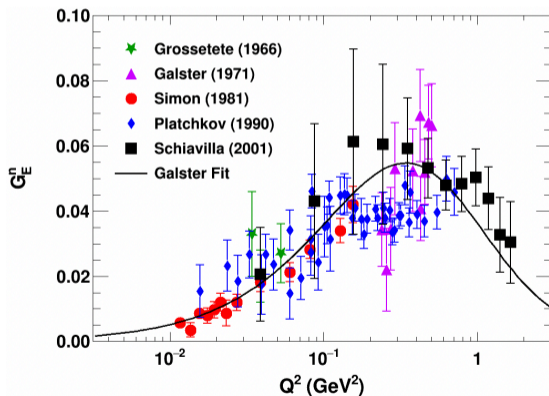
$$F(Q^2) = \frac{m_\rho^2}{1 + Q^2/m_\rho^2}$$

Vector meson dominance model fits the data well

Baryon in the pion?

# Neutron electric charge ff

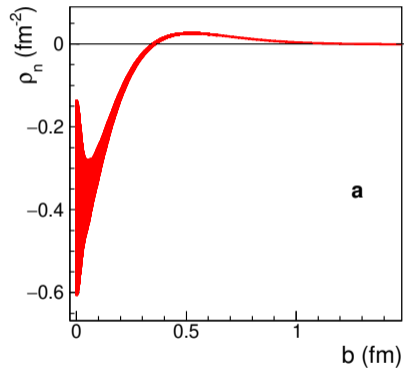
The neutron, which has no electric charge, has a non zero charge form factor for  $q^2 \neq 0$ :



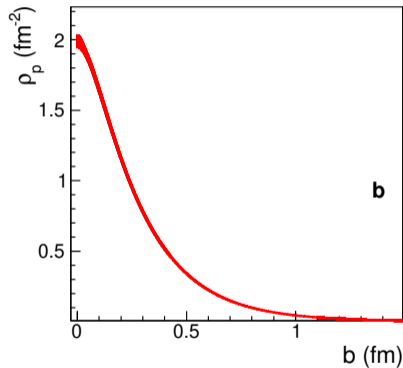
unpolarized elastic  $ed$  scat.

[Obrecht 2019]

# Neutron electric charge distribution (in the transverse plane)



neutron



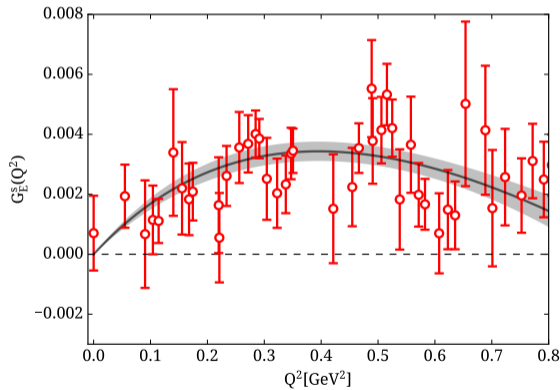
proton

[Atac et al. 2021]

# Strangeness in the nucleon

Another case: **strange** ff's of the nucleon,  $G_{E,M}^s$

[Jaffe 1989, Musolf, Burkardt 1993, Cohen, Forkel, Nielsen 1993,... ]



Alexandrou et. al (lattice ETM Coll.) 2020



# Symmetries and the baryon ff of the pion

## Divergence of vector currents in QCD

$$\partial_\mu [\bar{q}_a(x)\gamma^\mu q_b(x)] = i(m_a - m_b)\bar{q}_a(x)q_b(x), \quad a, b = u, d, s, c, b, t \quad \text{--flavor}$$

$m_a = m_b \rightarrow$  conservation of vector currents, quark number of any species conserved

## Gell-Mann–Nishijima formula

$$Q = I_3 + \frac{1}{2}(B + s + c + b + t)$$

For the pion heavier flavors can be neglected (OZI, large- $N_c$ ):

$$J_B^\mu = \frac{1}{N_c} (\bar{u}\gamma^\mu u + \bar{d}\gamma^\mu d), \quad J_3^\mu = \frac{1}{2} (\bar{u}\gamma^\mu u - \bar{d}\gamma^\mu d), \quad J_Q^\mu = J_3^\mu + \frac{1}{2}J_B^\mu \quad (\text{all conserved})$$

## Baryon, isospin, and charge form factors

$$\langle \pi^a(p) | J_{B,3,Q}^\mu(0) | \pi^a(p+q) \rangle = (2p^\mu + q^\mu) F_{B,3,Q}^a(q^2), \quad a = 0, +, - \quad (\text{pion isospin})$$

$$\pi^0: I^G(J^{PC}) = 1^-(0^{-+}), \quad \pi^\pm: I^G(J^P) = 1^-(0^-), \quad C|\pi^\pm\rangle = |\pi^\mp\rangle, \quad G = Ce^{i\pi I_2}$$

$J_{B,3,Q}^\mu$  are **odd** under  $C \rightarrow$

$$F_{B,3,Q}^{\pi^0}(q^2) = 0 \text{ and } F_{B,3,Q}^{\pi^+}(q^2) = -F_{B,3,Q}^{\pi^-}(q^2) \quad - \text{ always true!}$$

$$\text{e.g., } \langle \pi^0(p) | J_B^\mu(0) | \pi^0(p+q) \rangle = -\langle \pi^0(p) | C J_B^\mu(0) C | \pi^0(p+q) \rangle = -\langle \pi^0(p) | J_B^\mu(0) | \pi^0(p+q) \rangle = 0$$

$$\text{or } \langle \pi^+(p) | J_B^\mu(0) | \pi^+(p+q) \rangle = -\langle \pi^+(p) | C J_B^\mu(0) C | \pi^+(p+q) \rangle = -\langle \pi^-(p) | J_B^\mu(0) | \pi^-(p+q) \rangle$$

## Symmetries 3

Similarly, for **exact** isospin (and  $G$ ) symmetry (assuming  $m_u = m_d$  and neglecting small EM effects)

$J_B^\mu$  is **odd** under  $G \rightarrow$

$$F_B^{\pi^\pm}(q^2) = 0 \quad (F_3^{\pi^\pm}(q^2) \neq 0, \text{ as } J_3^\mu \text{ is even under } G)$$

However, in the real world the isospin (and  $G$ ) are broken (a.k.a. charge symmetry breaking) with  $m_d > m_u$  and EM effects

$$F_B^{\pi^\pm}(q^2) \text{ may be (and is) nonzero, with } F_B^{\pi^+}(q^2) = -F_B^{\pi^-}(q^2)$$

As the baryon charge of the pion is 0, we have

$$F_B^{\pi^\pm}(0) = 0 \quad (\text{but not at } q^2 \neq 0)$$

On the other hand,  $F_3^{\pi^\pm}(0) = \pm 1$  (the 3-component of isospin)

- As a rule, if a quantity is not protected by symmetry, hence may be nonzero, it **is nonzero**
- There is the question of magnitude, proportional to the strength of the symmetry breaking
- No probes with baryon number couple directly to the pion (except for lattice QCD)  $\rightarrow$  we need indirect methods to estimate the effect

## Mass splitting

$$\Delta m \equiv m_d - m_u = 2.8(2)\text{MeV} \quad (m_u = 2.01(14)\text{MeV}, m_d = 4.79(16)\text{MeV} \text{ [Davies et al. 2009]})$$

- EM violating effects more tricky to estimate/evaluate, of the order  $\alpha_{\text{QED}}/(2\pi) \sim 0.001$

# Effective Lagrangian estimate

# Order of magnitude from effective Lagrangian ( $\chi$ PT)

At leading order in the pion momenta and the quark mass splitting

$$J_B^\mu = -2i \frac{c\Delta m}{\Lambda^3} \partial_\nu (\partial^\mu \pi^+ \partial^\nu \pi^- - \partial^\nu \pi^+ \partial^\mu \pi^-) + \dots$$

$c$  – dimensionless number,  $\Lambda$  – typical hadronic scale

$J_B^\mu$  is odd under  $C$ , trivially conserved, and yields  $F_B^{\pi^+}(q^2) = q^2 c \Delta m / \Lambda^3 + \dots$

## Baryonic ms radius

$$\langle r^2 \rangle_B^{\pi^+} = 6c\Delta m / m_\rho^3 \simeq c \, 0.002 \text{fm}^2 \simeq c(0.04 \text{fm})^2$$

– small compared to the charge radius  $\langle r^2 \rangle_Q^{\pi^+} = 0.434(5) \text{fm}^2 = (0.659(4) \text{fm})^2$

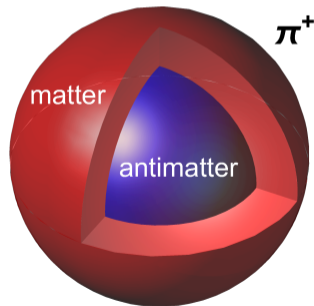
# Quark-model estimates



# Mechanistic explanation

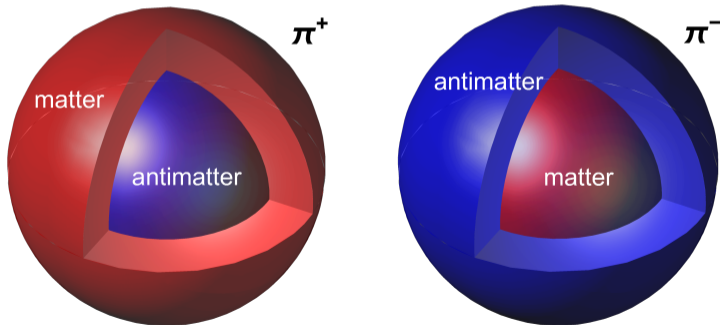
$\bar{d}$  is a bit heavier than  $u$ , hence its distribution is somewhat more compact.

$\pi^+ = u\bar{d}$ ,  $u$  - baryon charge (matter),  $\bar{d}$  - antibaryon charge (antimatter)



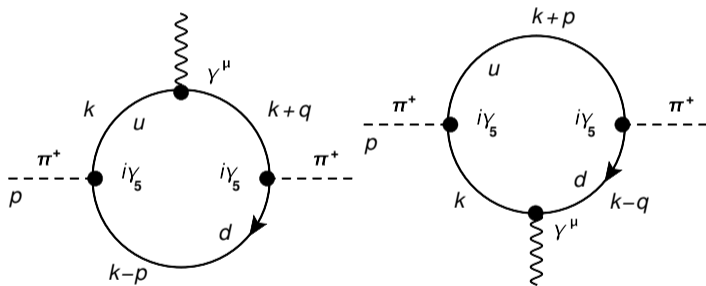
# Mechanistic explanation

$\bar{d}$  is a bit heavier than  $u$ , hence its distribution is somewhat more compact.



# Nambu–Jona-Lasinio (NJL) model

Covariant field-theoretic model. Dynamical chiral symmetry breaking, point-like interaction, large- $N_c$  (one-loop), regularization. Generally very successful in pion low-energy phenomenology

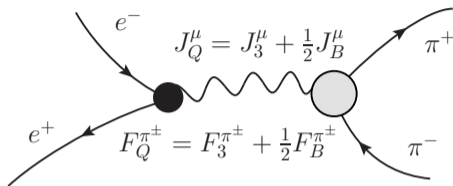
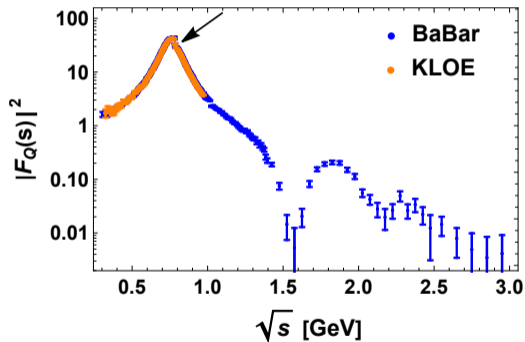


$$\text{NJL: } \langle r^2 \rangle_B^{\pi^+} \simeq (0.06 \text{ fm})^2$$

# Determination from exp. data (!)

$$e^+e^- \rightarrow \pi^+\pi^-$$

Long tradition of  $e^+e^- \rightarrow \pi^+\pi^-$  measurements

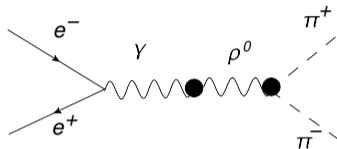


← Arrow indicates a wiggle due to  $F_B^{\pi^\pm} \neq 0!$

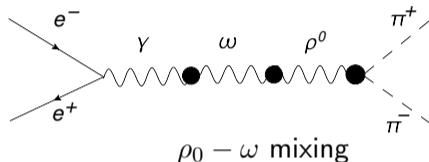
(relevant for hadronic vacuum polarization in  $g - 2$ )

# Vector meson dominance

isospin part



baryonic part



$$F_3^{\pi^+}(s) = \frac{1}{1 + c' + c'' + c'''} [D_{\rho^0}(s) + c' D_{\rho'^0}(s) + c'' D_{\rho''^0}(s) + c''' D_{\rho'''^0}(s)]$$

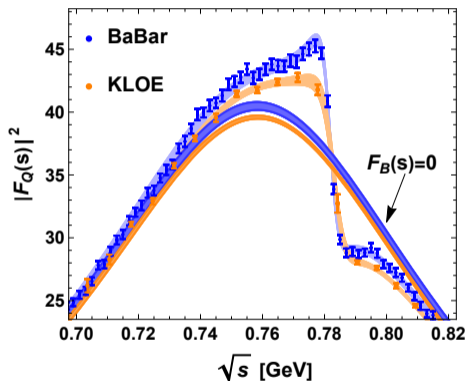
$$\frac{1}{2} F_B^{\pi^+}(s) = c_{\rho^0 \omega} s D_{\rho^0}(s) D_{\omega}(s),$$

$$D_V(s) = \frac{m_V^2}{m_V^2 - s - i m_V \Gamma_V(s)}$$

[Gounaris-Sakurai 1968, largely used by exp. groups]

# Our fit to KLOE and BaBar

... shown in the relevant range of  $s$

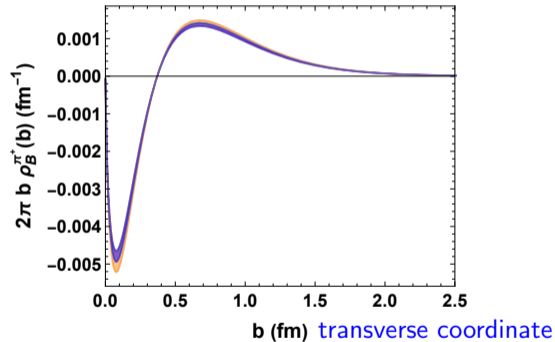
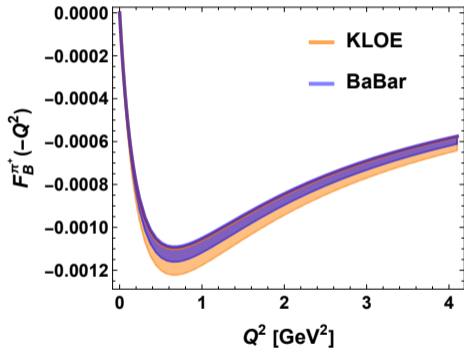


Necessity of  $F_B^{\pi^\pm} \neq 0$  (or  $\rho - \omega$  mixing)

Unresolved discrepancy between KLOE and BaBar!

# Continuation space-like $Q^2$ with the dispersion relation

$$F_B^{\pi^\pm}(-Q^2) = \frac{1}{\pi} \int_{4m_{\pi^\pm}^2}^{\infty} ds \frac{\text{Im} F_B^{\pi^\pm}(s)}{s + Q^2} = -\frac{Q^2}{\pi} \int_{4m_{\pi^\pm}^2}^{\infty} ds \frac{\text{Im} F_B^{\pi^\pm}(s)}{s(s + Q^2)}$$



$e^+e^- \rightarrow \pi^+\pi^-$ :

BaBar:  $\langle r^2 \rangle_B^{\pi^+} \simeq (0.0411(7) \text{ fm})^2$ ,

KLOE:  $\langle r^2 \rangle_B^{\pi^+} \simeq (0.0412(12) \text{ fm})^2$

(stat. errors only)



# Comparison of our various estimates

approach	$\langle r^2 \rangle_{B^+}^{\pi^+}$	comment
effective Lagrangian	$c(0.04 \text{ fm})^2$	$c$ - number of order 1
NJL	$(0.06 \text{ fm})^2$	$\Delta m$ effects only
BaBar	$(0.041(1) \text{ fm})^2$	exp. statistical error only
KLOE	$(0.041(1) \text{ fm})^2$	exp. statistical error only

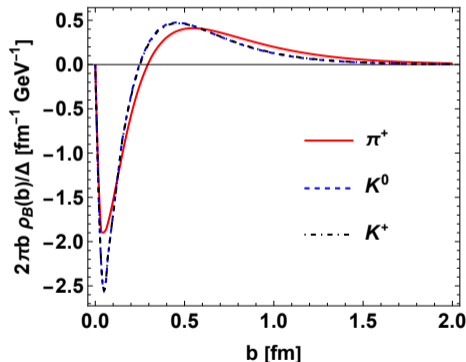
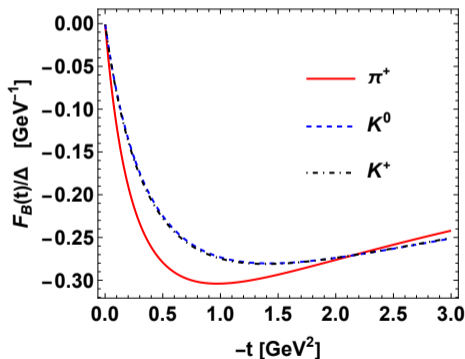
- Order of magnitude agreement between very different methods
- BaBar and KLOE extractions incorporate both  $\Delta m$  and EM breaking (but EM canceled from the initial and final state interactions via ratio to the muon pair production)

# Baryon in the kaon

# Kaon in NJL

Full analogy to  $\pi^+$ : for  $K^+ = u\bar{s}$  replace  $d \rightarrow s$ , for  $K^0 = d\bar{s}$  replace  $u \rightarrow d$  and  $d \rightarrow s$

NJL:  $m_s/m = 26$  (fits  $m_K$ ), PDG:  $m_s/m = 27.3^{+0.7}_{-1.3}$



(for  $\pi^+$ ,  $K^0$ ,  $K^+$ , correspondingly,  $\Delta = M_d - M_u$ ,  $\Delta = M_s - M_d$ ,  $\Delta = M_s - M_u$ )

# Kaon baryonic radius

NJL:

$$\langle r^2 \rangle_B^{K^+} = (0.24(1) \text{ fm})^2, \quad \langle r^2 \rangle_B^{K^0} = (0.23(1) \text{ fm})^2$$

In NJL,  $\langle r^2 \rangle_B^{K^0} = -\langle r^2 \rangle_Q^{K^0}$ , since the baryon number and electric charge of  $d$  and  $\bar{s}$  quarks are equal and opposite

PDG:

$$\langle r^2 \rangle_Q^{K^0} = -(0.28(2) \text{ fm})^2, \text{ of the same sign and close in magnitude to NJL}$$

Within the reach of the lattice

# Conclusions

- 1 Intriguing, fundamental feature of the pion, eventually should end up in the PDG Tables
- 2 Small, but as shown, **possible to extract** from the present experimental data – could be elevated to strict determination after some experimental and theoretical systematic issues are resolved
- 3 Estimates from very different approaches yield  $\langle r^2 \rangle_{B^+}^{\pi^+} = (0.03 - 0.06 \text{ fm})^2$ , the sign agrees with the mechanistic interpretation
- 4 **Lattice QCD**:  $\langle r^2 \rangle_Q^{\pi} = (0.648(15) \text{ fm})^2 = 0.42(2) \text{ fm}^2$  – our signal for the baryon ff is a factor of  $\sim 10$  too small (0.002 vs the accuracy of 0.02) to be currently detected (but still could be tried)
- 5 Good lattice prospects for the kaon or heavy-light mesons

THANKS FOR YOUR ATTENTION!