

Applications of the NJL model to the partonic structure of the pion

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Dedication to David

Where and when we met?

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Hadron Structure 88

14-18 Nov 1988, Pešťany, Czechoslovakia

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Electric polarizability of the nucleon in the Nambu-Jona-Lasinio model

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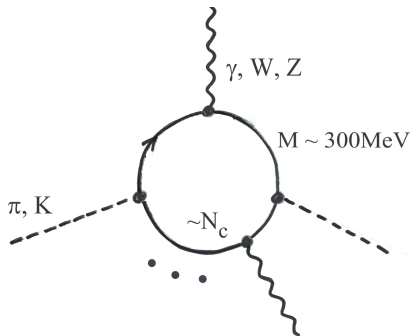
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NJL *1961

As young as the NJL model!

NJL in high-energy processes

Chiral quark models

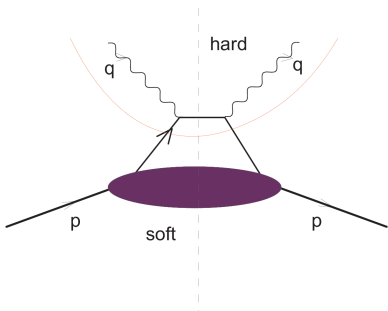


- χ SB breaking \rightarrow massive quarks
- Point-like interactions
- Soft matrix elements with pions (and photons, W , Z)
- Large- $N_c \rightarrow$ one-quark loop
- Regularization

pion – Goldstone boson of χ SB, fully relativistic $q\bar{q}$ bound state of the Bethe-Salpeter equation

Simplest covariant field-theoretic model for the pion!

Parton distributions



$$Q^2 = -q^2, \quad x = \frac{Q^2}{2p \cdot q}, \quad Q^2 \rightarrow \infty$$

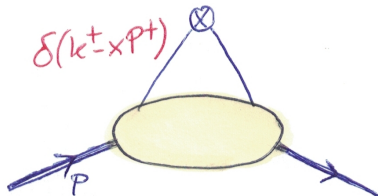
Factorization of soft and hard processes,
Wilson's OPE

$$\langle J(q)J(-q) \rangle = \sum_i C_i(Q^2; \mu) \langle \mathcal{O}_i(\mu) \rangle$$

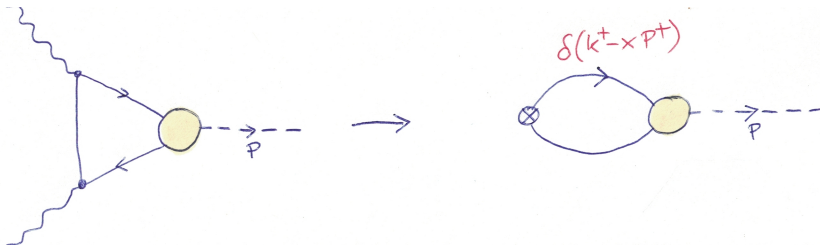
Twist expansion $\rightarrow F(x, Q) = F_0(x, \alpha(Q)) + \frac{F_2(x, \alpha(Q))}{Q^2} + \dots$

Bjorken limit \rightarrow light-cone
momentum is constrained:

$$k^+ \equiv k^0 + k^3 = xP^+ \quad x \in [0, 1]$$



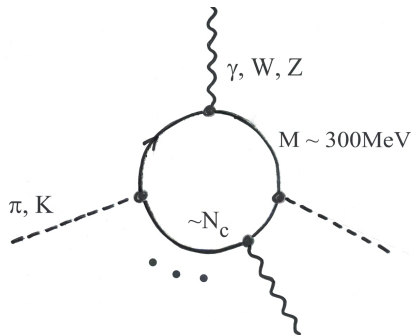
Distribution amplitude (DA) of the pion



Enters various measures of exclusive processes,
e.g., pion-photon transition form factor

[Anikin, Dorokhov, Tomio 2000]
[Praszałowicz, Rostworowski 2001, + Bzdak 2003, + Kotko 2009]
[ERA, WB 2002]

Chiral quark models

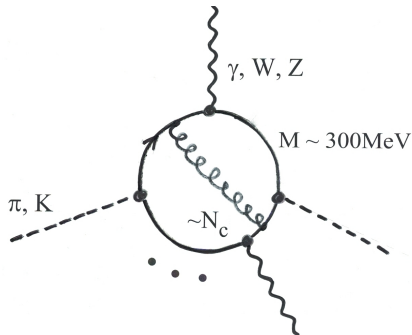


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Quantities evaluated at the **quark model** scale
(where **constituent quarks** are the only degrees of freedom)

Chiral quark models



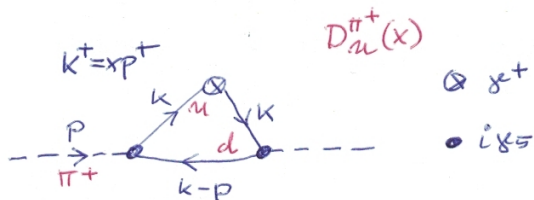
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Need for QCD evolution

Gluon dressing, renorm-group improved

[Davidson, Arriola, 1995]



$$q_{\text{val}}(x; Q_0) = 1 \times \theta[x(1-x)]$$

(proper treatment of symmetries with regularization)

Quarks are the only degrees of freedom, hence saturate the PDF sum rules:

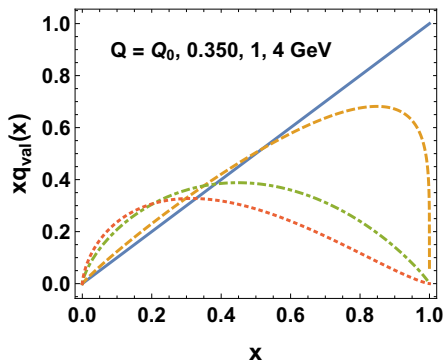
$$\int_0^1 dx q_{\text{val}}(x; Q_0) = 1 \quad (\text{valence}), \quad 2 \int_0^1 dx x q_{\text{val}}(x; Q_0) = 1 \quad (\text{momentum})$$

Scale and evolution

QM provide non-perturbative result at a low scale Q_0

$$F(x, Q_0)|_{\text{model}} = F(x, Q_0)|_{\text{QCD}}, \quad Q_0 - \text{the matching scale}$$

Quarks carry 100% of momentum at Q_0 , adjusted such that when evolved to $Q = 2$ GeV, they carry the experimental value of 47% (radiative generation of gluons and sea quarks)



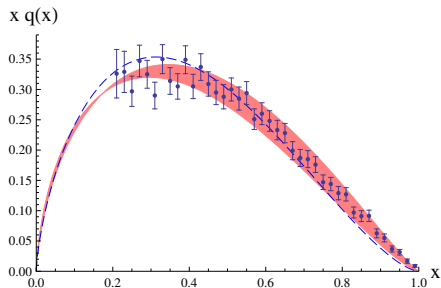
LO DGLAP evolution

$$Q_0 = 313_{-10}^{+20} \text{ MeV}$$

NLO close to LO

$$\sim (1-x)^{p+\frac{4C_F}{\beta_0} \log \frac{\alpha(Q_0)}{\alpha(Q)}}$$

Pion valence quark PDF, NJL vs E615



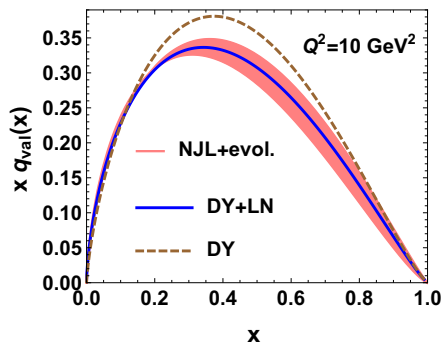
points: Fermilab E615
Drell-Yan, $\pi^\pm W \rightarrow \mu^+ \mu^- X$

dashed line: 2005 NLO
reanalysis [Wijesoorija et al.]

band: QM + LO DGLAP
from $Q_0 = 313_{-10}^{+20}$ MeV to
 $Q = 4$ GeV

Pion valence quark DF, QM vs JAM analysis

[P. C. Barry, N. Sato, W. Melnitchouk, C.-R. Ji, PRL 121 (2018) 152001, arXiv:1804.01965]

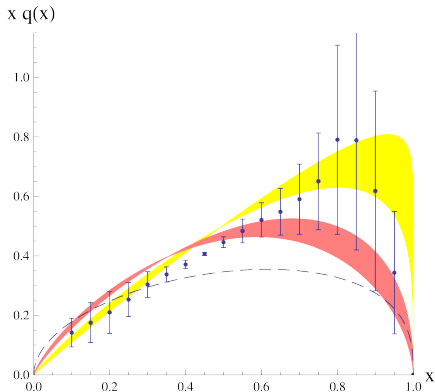


curves: JAM data analysis

band: QM + LO DGLAP
from $Q_0 = 313_{-10}^{+20}$ MeV to
 $Q^2 = 10 \text{ GeV}^2$

Many predictions for related quantities: DA, GPD, TDA, TMD, quasi/pseudo DA/PDF...

Pion quark DF, NJL vs. **transverse** lattice



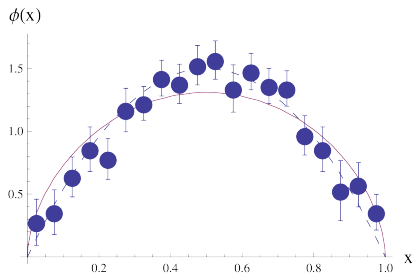
points: transverse lattice
[Dalley, van de Sande 2003]

yellow: QM evolved to 0.35 GeV

pink: QM evolved to 0.5 GeV

dashed: GRS param. at 0.5 GeV

Pion DA, NJL vs. E791

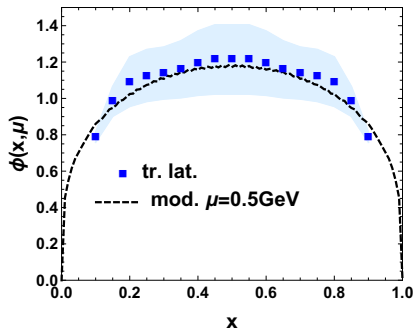


points: E791 data from dijet production in $\pi + A$

solid line: QM at $Q = 2$ GeV

dashed line: asymptotic form $6x(1-x)$ at $Q \rightarrow \infty$

Pion DA, NJL vs. transverse lattice



points: transverse lattice data [Dalley, van de Sande 2003]

Double parton distributions (new stuff)

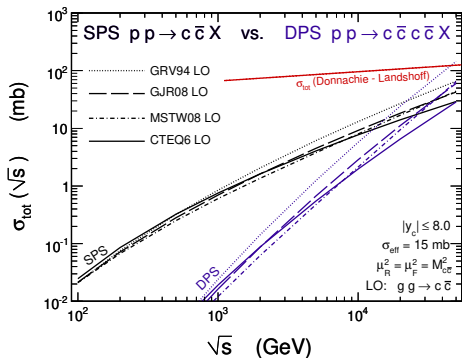
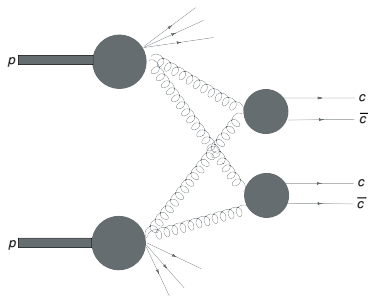
WB at LC2019
Courtoy, Noguera, Scopetta, arXiv:1909.09530
WB, ERA, arXiv:1910.03707

Motivation for multi-parton distributions

- Old story ([Fermilab](#)), renewed interest (e.g., ATLAS measurement for $pp \rightarrow W+2$ jets 2013) [Kuti, Weiskopf 1971, Konishi, Ukwa, Veneziano 1979, Gaunt, Stirling 2010, Diehl, Ostermeier, Schäfer 2012, . . . , reviews: Bartalani et al. 2011, Snigirev 2011, Rinaldi, Ceccopieri 2018]
- Model exploration [MIT bag: Chang, Manohar, Waalewijn 2013], valon [WB+ERA 2013], constituent quarks: Rinaldi, Scopetta, Vento 2013, Rinaldi, Scopetta, Traini, Vento 2018]
- Questions: factorization, interference of single- and double-parton scattering [Manohar, Waalewijn 2012, Gaunt 2013], longitudinal-transverse decoupling, positivity bounds [Diehl, Casemets 2013], transverse momentum dependence [Casemets, Gaunt 2019]
- [Gaunt-Stirling sum rules](#) [Gaunt, Stirling 2010, WB+ERA 2013, Diehl, Plöß, Schäfer 2019]

Double parton scattering

[example from Łuszczak, Maciuła, Szczurek 2011]



DPS can be comparable to SPS at the LHC

Assumption: $D_{gg}(x_1, x_2, \mathbf{b}) = g(x_1)g(x_2)F(\mathbf{b})$

– no correlations, transverse-longitudinal factorization

Definition

Intuitive probabilistic definition:

Multi-parton distribution = probability distribution that struck partons have LC momentum fractions x_i

Field-theoretic definition of (spin-averaged) PDF and dPDF [Diehl, Ostermeier, Schaeffer 2012] of a hadron with momentum p :

$$D_j(x) = \int \frac{dz^-}{2\pi} e^{ixz^- p^+} \langle p | \mathcal{O}_j(0, z) | p \rangle \Big|_{z^+=0, \mathbf{z}=0}$$

$$F_{j_1 j_2}(x_1, x_2, \mathbf{y}) = 2p^+ \int dy^- \frac{dz_1^-}{2\pi} \frac{dz_2^-}{2\pi} e^{i(x_1 z_1^- + x_2 z_2^-) p^+} \\ \times \langle p | \mathcal{O}_{j_1}(y, z_1) \mathcal{O}_{j_2}(0, z_2) | p \rangle \Big|_{z_1^+ = z_2^+ = y^+ = 0, \mathbf{z}_1 = \mathbf{z}_2 = 0}$$

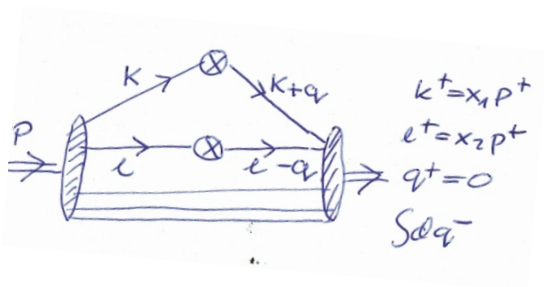
$$\mathcal{O}_q(y, z) = \frac{1}{2} \bar{q}(y - \frac{z}{2}) \gamma^+ q(y + \frac{z}{2}), \dots \quad (\text{LC gauge}) \quad v^\pm = (v^0 \pm v^3)/\sqrt{2}$$

y plays the role of the transverse distance between the two quarks

dPDF in momentum space

Fourier transform in \mathbf{y}

$$F_{j_1 j_2}(x_1, x_2, \mathbf{y}) \rightarrow \tilde{F}_{j_1 j_2}(x_1, x_2, \mathbf{q})$$



Special case of $\mathbf{q} = \mathbf{0}$:

$$D_{j_1 j_2}(x_1, x_2) = \tilde{F}_{j_1 j_2}(x_1, x_2, \mathbf{q} = \mathbf{0})$$

[Gaunt, Stirling, JHEP (2010) 1003:005, Gaunt, PhD Thesis]

Fock-space decomposition on LC + conservation laws \rightarrow

$$|P\rangle = \sum_N \int d[x, \mathbf{k}]_N \Phi(\{x_i, \mathbf{k}_i\}) |\{x_i, \mathbf{k}_i\}\rangle_N$$
$$d[x, \mathbf{k}]_N = \prod_{i=1}^N \left[\frac{dx_i d^2 k_i}{\sqrt{2(2\pi)^3 x_i}} \right] \delta \left(1 - \sum_{i=1}^N x_i \right) \delta^{(2)} \left(1 - \sum_{i=1}^N \mathbf{k}_i \right)$$

Gaunt-Stirling sum rules

[Gaunt, Stirling, JHEP (2010) 1003:005, Gaunt, PhD Thesis]

Fock-space decomposition on LC + conservation laws →

$$\sum_i \int_0^{1-x_2} dx_1 x_1 D_{ij}(x_1, x_2) = (1-x_2)D_j(x_2) \quad (\text{momentum})$$

$$\int_0^{1-x_2} dx_1 D_{i_{\text{val}}j}(x_1, x_2) = (N_{i_{\text{val}}} - \delta_{ij} + \delta_{\bar{i}j})D_j(x_2) \quad (\text{quark number})$$

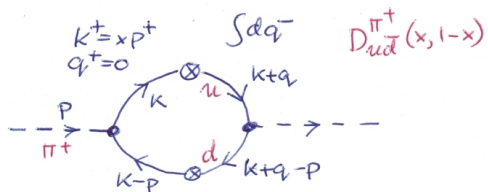
$$(A_{i_{\text{val}}} \equiv A_i - A_{\bar{i}})$$

$$N_{i_{\text{val}}} = \int_0^1 dx D_{i_{\text{val}}}(x)$$

- Preserved by DGLAP evolution
- Non-trivial to satisfy with the (guessed) function
- Checked in light-front perturbation theory and in lowest-order covariant calculations in [Diehl, Plößl, Schäfer 2019]

Important and fundamental constraints!

dPDF of the pion in NJL model

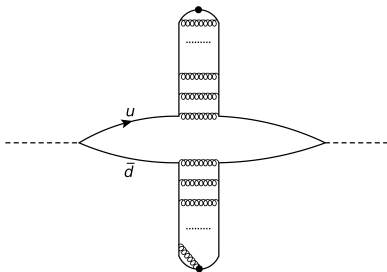


$$D_{u\bar{d}}(x_1, x_2) = 1 \times \delta(1 - x_1 - x_2) \theta[x_1(1 - x_1)]$$

- GS sum rules satisfied (preserved by the evolution)
- Results at the quark-model scale \rightarrow need for evolution

DGLAP evolution in the Mellin space

[Kirschner 1979, Shelest, Snigirev, Zinovev 1982]: method of solving DGLAP based on the Mellin moments, similarly to single PDF [simplification for valence distributions](#)



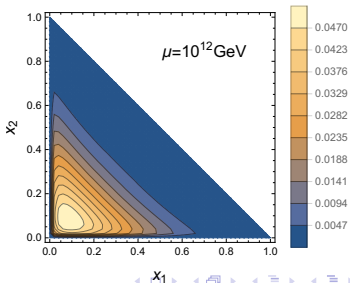
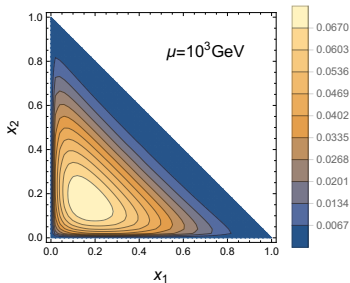
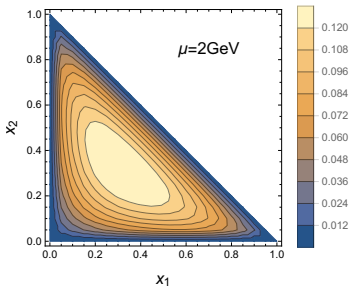
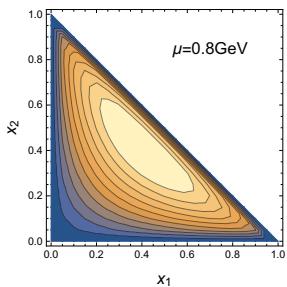
$$t = \frac{1}{2\pi\beta} \log [1 + \alpha_s(\mu)\beta \log(\Lambda_{\text{QCD}}/\mu)] \text{ (single scale for simplicity), } \beta = \frac{11N_c - 2N_f}{12\pi}$$

Valence:

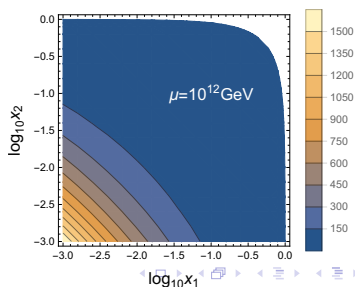
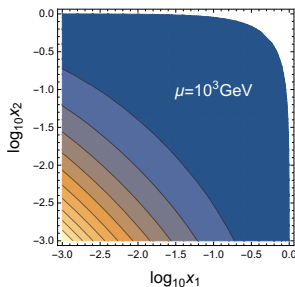
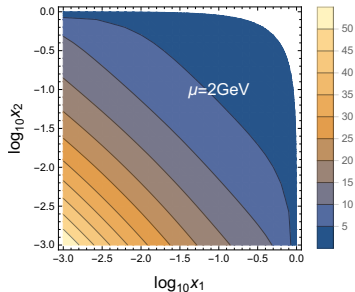
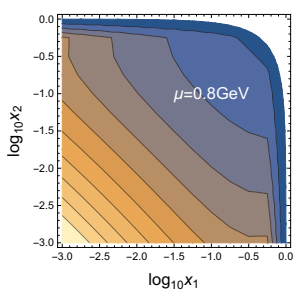
$$\text{dPDF : } \frac{d}{dt} M_{j_1 j_2}^{n_1 n_2}(t) = (P_{j_1 \rightarrow j_1}^{n_1} + P_{j_2 \rightarrow j_2}^{n_2}) M_{j_1, j_2}^{n_1 n_2}(t)$$

$$\text{PDF : } \frac{d}{dt} M_j^n(t) = P_{j \rightarrow j}^n M_j^n(t)$$

$$x_1 x_2 D_{ud}^{\pi^+}(x_1, x_2)$$

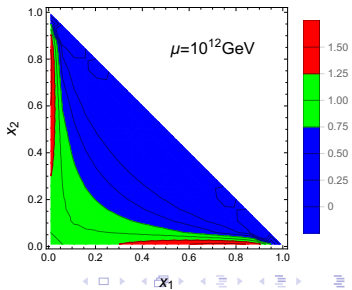
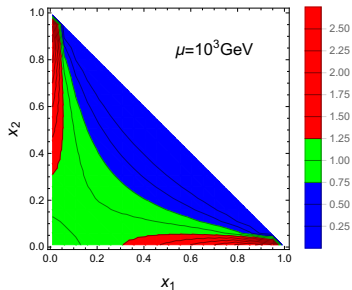
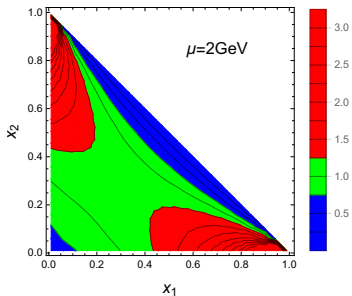
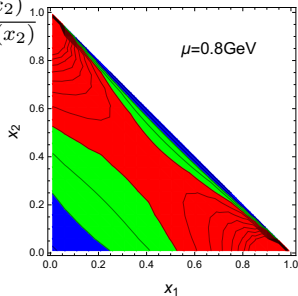


$D_{ud}^{\pi^+}(x_1, x_2) - \log \text{ scale}$



Correlation

$$\frac{D_{u\bar{d}}^{\pi^+}(x_1, x_2)}{D_u(x_1)D_{\bar{d}}(x_2)}$$

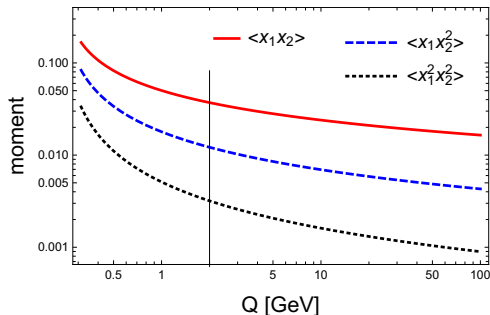


Valence moments in NJL

$$\frac{\langle x_1^n x_2^m \rangle}{\langle x_1^n \rangle \langle x_2^m \rangle} = \frac{(1+n)!(1+m)!}{(1+n+m)!} \quad (\text{NJL, any scale})$$

(independent of the evolution scale)

	1	2	3	4	5
1	2	$\frac{1}{2}$	$\frac{2}{5}$	$\frac{1}{3}$	$\frac{2}{7}$
2	$\frac{3}{2}$	$\frac{3}{10}$	$\frac{1}{5}$	$\frac{3}{7}$	$\frac{3}{28}$
3	$\frac{4}{2}$	$\frac{1}{5}$	$\frac{3}{5}$	$\frac{1}{7}$	$\frac{1}{21}$
4	$\frac{5}{2}$	$\frac{1}{3}$	$\frac{14}{5}$	$\frac{5}{14}$	$\frac{21}{42}$
5	$\frac{3}{2}$	$\frac{7}{28}$	$\frac{14}{21}$	$\frac{126}{42}$	$\frac{42}{77}$



Double moments reduced compared to product of single moments
 [lattice results coming shortly, Zimmermann et al.]

- NJL: simplest field theory of the pion in the **soft** regime
- Covariant calculations, all symmetries preserved \rightarrow good features
- Exploration of quantities accessible on the lattice
- dPDF in NJL = $1 \times \delta(1 - x_1 - x_2) + \text{DGLAP evolution}$
- Moments measure the $x_1 - x_2$ factorization breaking; will be verified in forthcoming lattice calculations

120 years, David!