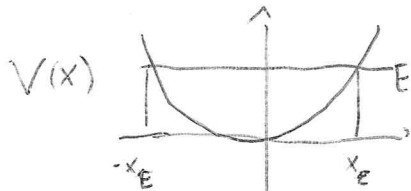


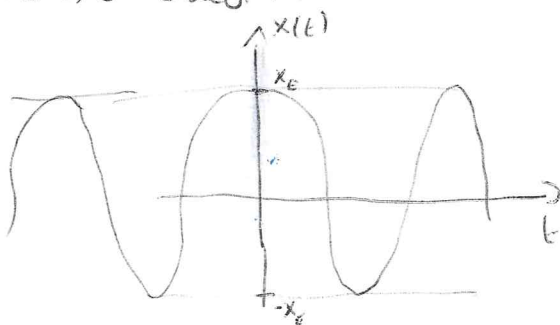
Classical mechanics (recall):

$$L = \frac{1}{2} m_0 \dot{x}^2 - V(x)$$

$$\xrightarrow{\text{eom}} m_0 \ddot{x} = -\frac{dV}{dx}$$



Each E is allowed

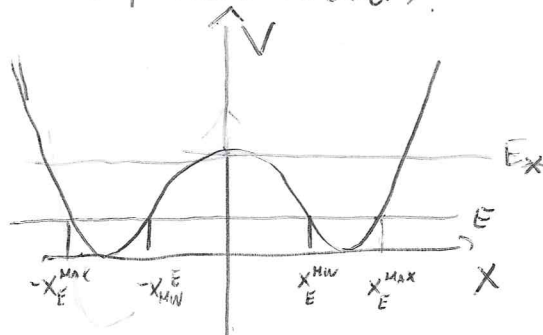


This is a classical field theory in 1+0 dimensions.

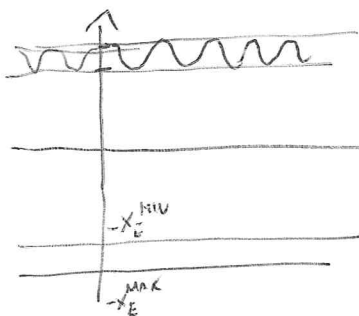
[Achtung... you may say, it is two dimensional, just as 1+1... \hat{t} \hat{x} ... but even if that is true, one direction depends on the other. Having a trajectory, you indeed have $x = x(t)$... so it is a classical field theory with one variable.]

($V(x) = \frac{1}{2} m_0 \omega^2 x^2 \mapsto x(t) = x_0 \cos(\omega t)$, otherwise one has a more complicated function, but still some periodic behavior).

$$V(x) = \frac{\lambda}{4} (x^2 - F^2)^2$$



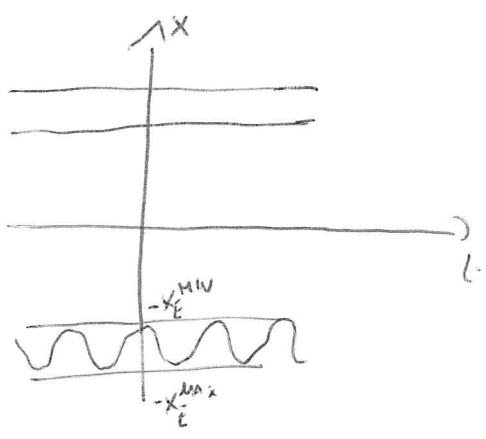
$E < E_*$



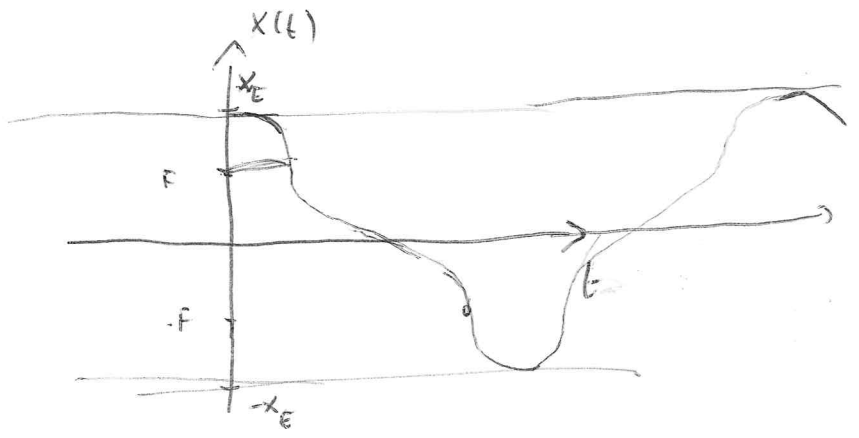
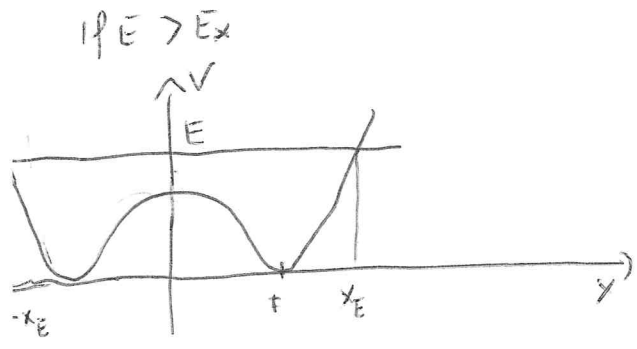
(i) $x_0(t) = (x_E^{\text{MIN}}, x_E^{\text{MAX}}) \dots$

$$x(t) \approx F + x_E^{\text{MAX}} \cos(\omega t)$$

(ω is obtained by expanding $V(x)$ around



For $x \in (-x_E^{MIN}, -x_E^{MAX})$.



Note, in both case there is "nothing like a solution" here... else is just "E", you do not have a special solution...

$$L = \frac{1}{2} m_0 \dot{x}^2 - V(x) = \frac{1}{2} \frac{d(\sqrt{m_0} \dot{x})^2}{dt} - V(x)$$

$$x \mapsto X/\sqrt{m_0}$$

$$L = \frac{1}{2} \dot{X}^2 - V(X/\sqrt{m_0}) \equiv \frac{1}{2} \dot{X}^2 - V(x) \rightarrow \text{we can also set for sake of simplicity } m_0 = 1.$$

which are the dimensions now?

L must have dimension of E.

$$\text{Then, } [x] = [E^{-1/2}]$$

$$V(x) = \frac{1}{2} \omega^2 x^2 \rightarrow [\omega] \text{ has indeed dimension energy, } [\omega] = [E]$$

Note, ω is indeed the "mass" of the field, not m_0 ...

In fact, for the mass of a field we understand the frequency of its fluctuation over time.

(This is why we can set $m_0 = 1$...). (Note: $V(x) = \frac{1}{2} k x^2$)

$k = m_0 \omega^2 \mapsto \omega = \sqrt{\frac{k}{m_0}}$, then it why m_0 is important for practical purposes...

$$V(x) = \frac{\lambda}{4} (x^2 - F^2)^2$$

$$[F] = E^{-1/2}$$

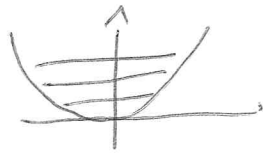
$$[\lambda] = E^3$$

Note: $V(x) = c x^m \rightarrow [c] \text{ has positive energy, ... obviously, always "renormalizable" ...}$

Not

$$L = \frac{1}{2} \dot{x}^2 - V(x)$$

⊙ $V(x) = \frac{1}{2} \omega^2 x^2$



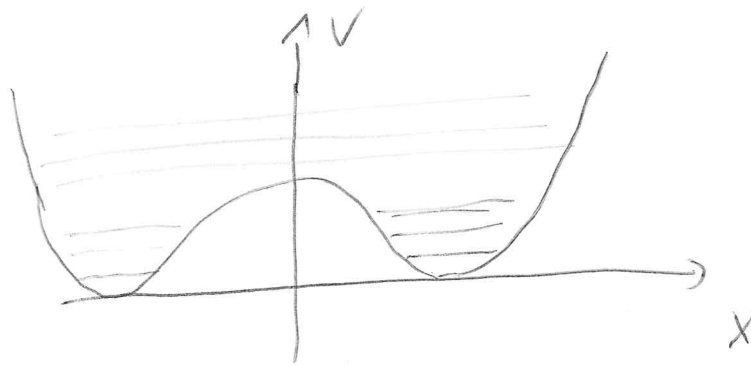
$$-\frac{1}{2} \frac{d^2 \psi}{dx^2} + V(x) \psi = E \psi$$

$$E_m = (m + \frac{1}{2}) \omega$$

($V(x) = \frac{1}{2} \omega^2 x^2 + \frac{\lambda}{4} x^4$... they are not equidistant, but you have a similar

feature...)

⊙ $V(x)$



How are the energy level here?

is there a degeneracy?

$$E_m = (m + \frac{1}{2}) \omega \quad \text{in the right well?}$$

$$E_m = (m + \frac{1}{2}) \omega \quad \text{'' '' left well?}$$

This is not the case... a general theorem actually forbids this kind of degeneracy for 1-dim systems

You would have it for:

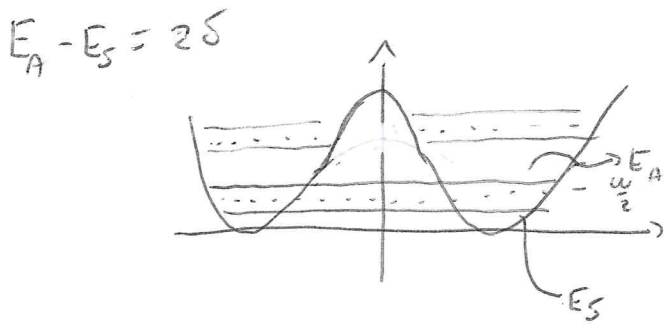
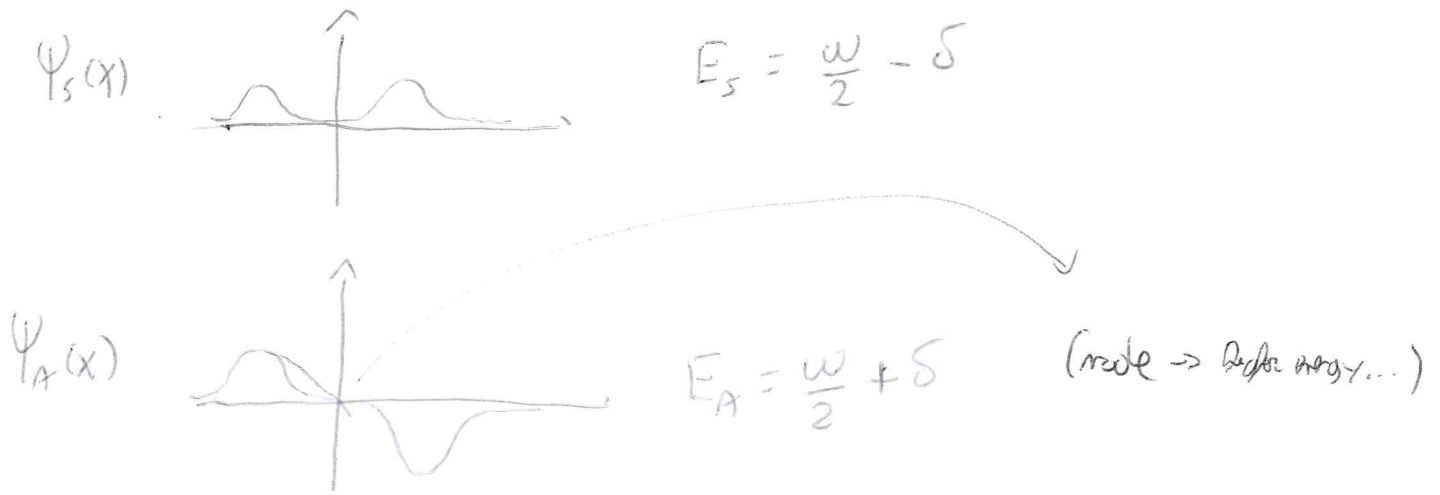


7

Separated wells, no communication, no tunnel... but you can't have it

for a well-behaved potential

Indeed, a solution of the Schrödinger equation shows that



$$V = \frac{\kappa}{5} (x^2 - F)^2$$

close to E_x it can be then extremely complicated...

We have this solution because of tunneling: I can go from left to right and vice versa.

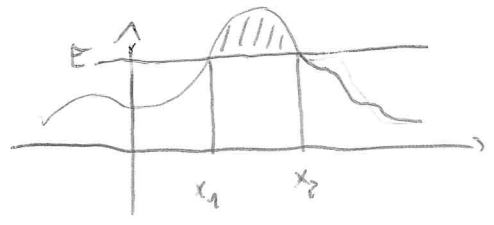
$$\begin{cases} \Delta E = E_A - E_S = 2\delta = \sqrt{\frac{\delta S_0}{\pi}} e^{-S_0} \\ S_0 = \frac{\omega^3}{3\kappa} = \frac{m^3}{3\kappa} \end{cases}$$

Non-perturbative (tunnel!)
(This is used in the WKB-approx.)

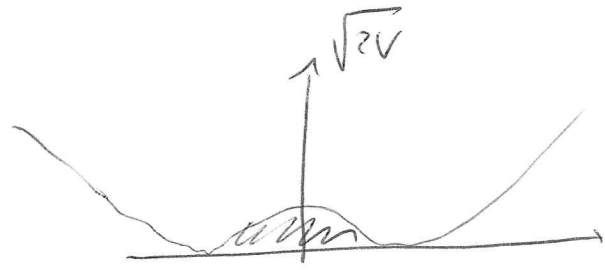
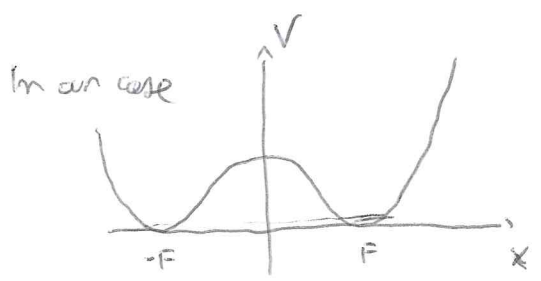
$\omega = m$ \rightarrow "mass" of the fluctuation...

$$\omega^2 = \left. \frac{\partial^2 V}{\partial x^2} \right|_F = \left. \frac{d}{dx} \left(\kappa x (x^2 - F^2) \right) \right|_F = \left(\kappa (x^2 - F^2) + 2\kappa x^2 \right)_F = 2\kappa F^2$$

Tunnel = do you recall the tunnel probability?



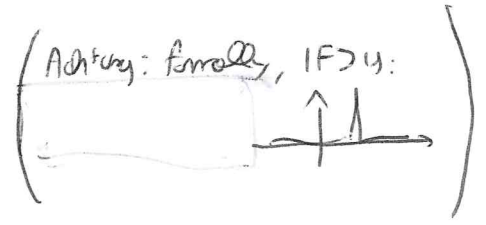
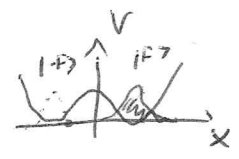
$$T \approx e^{-\int_{x_1}^{x_2} dx \sqrt{2m_0(V(x)-E)}}$$



$$T \approx e^{-\int_{-F}^F dx \sqrt{2V(x)}} = e^{-S_0}$$

≡ Why is the symmetric configuration lower in energy than the other one?

- $|R\rangle$ = state localized on the right
- $|L\rangle$ = " " " " left



which is the approximate Hamiltonian?

$$H = \frac{m}{2} |R\rangle\langle R| + \frac{m}{2} |L\rangle\langle L| + \delta (|R\rangle\langle L| + |L\rangle\langle R|)$$

$\delta \propto e^{-T} \approx e^{-S_0} !!!$

$$|S\rangle = \frac{1}{\sqrt{2}} (|R\rangle + |L\rangle)$$

$$|A\rangle = \frac{1}{\sqrt{2}} (|R\rangle - |L\rangle)$$

Ergo:

$$\begin{cases} |R\rangle = \frac{1}{\sqrt{2}} (|S\rangle + |A\rangle) \\ |L\rangle = \frac{1}{\sqrt{2}} (|S\rangle - |A\rangle) \end{cases}$$

$$\begin{cases} \langle R| = \frac{1}{\sqrt{2}} (\langle S| + \langle A|) \\ \langle L| = \frac{1}{\sqrt{2}} (\langle S| - \langle A|) \end{cases}$$

$$\begin{aligned} H &= \frac{m}{2} \left(\frac{1}{2} |S\rangle \langle S| + \frac{1}{2} |A\rangle \langle A| + \frac{1}{2} |S\rangle \langle A| + \frac{1}{2} |A\rangle \langle S| \right) \\ &+ \frac{m}{2} \left(\frac{1}{2} |S\rangle \langle S| + \frac{1}{2} |A\rangle \langle A| - \frac{1}{2} |S\rangle \langle A| - \frac{1}{2} |A\rangle \langle S| \right) \\ &- \delta \left[\frac{1}{2} (|S\rangle \langle S| - |S\rangle \langle A| + |A\rangle \langle S| - |A\rangle \langle A|) \right. \\ &\quad \left. + \frac{1}{2} (|S\rangle \langle S| + |S\rangle \langle A| - |A\rangle \langle S| - |A\rangle \langle A|) \right] \\ &= \frac{m}{2} (|S\rangle \langle S| + |A\rangle \langle A|) - \delta (|S\rangle \langle S| - |A\rangle \langle A|) \\ &= \left(\frac{m}{2} - \delta \right) |S\rangle \langle S| + \left(\frac{m}{2} + \delta \right) |A\rangle \langle A| \end{aligned}$$

We see that the new basis $\{|S\rangle, |A\rangle\}$ diagonalizes H ... and that for $\delta < 0$, $|S\rangle$ is lower than $|A\rangle$.

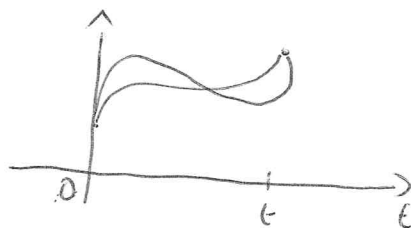
Instanton = link to solitons.

↑

In QM, one may use the path integral approach

$$L(t) = \frac{1}{2} \dot{x}^2 - V(x)$$

$$\langle x_2 | e^{-iHt} | x_1 \rangle = \int_{\substack{Dx \\ x(0) = x_1 \\ x(t) = x_2}} e^{+i \int_0^t dt_1 L(t_1)}$$



Now, let us consider the transformation

$$\boxed{t = -iY} \quad \text{Euclidean time}$$

The quantity

$$\langle x_2 | e^{-HY} | x_1 \rangle$$

is perfectly defined from a mathematical point of view:

$$\langle x_2 | e^{-HY} | x_1 \rangle = \int_{\substack{Dx \\ x(0) = x_1 \\ x(Y) = x_2}} e^{-\int_0^Y dt_1 L^E(t_1)}$$

where:

$$L^E(t_1) = \frac{1}{2} \frac{dx}{dt_1}^2 + V(x)$$

↑
with reversed sign...

Note, this is only a mathematical object... still, it contains all the information about the quantum system.

hr lect:

$$\begin{aligned} \langle x_2 | e^{-HY} | x_1 \rangle &= \sum_m \langle x_2 | m \rangle \langle m | e^{-HY} | m \rangle \langle m | x_1 \rangle = \\ &= \sum_m \langle x_2 | m \rangle \langle m | x_1 \rangle e^{-E_m Y} \end{aligned}$$

For instance, if you make sure that $\langle x_2 | m \rangle \neq 0$, $\langle m | x_1 \rangle \neq 0$, and you perform the limit $Y = T \rightarrow \infty$, you get:

$$\langle x_2 | e^{-HT} | x_1 \rangle \simeq \langle x_2 | 0 \rangle \langle 0 | x_1 \rangle e^{-E_0 T} = \int_{\substack{x(-T) = x_1 \\ x(T) = x_2}} \mathcal{D}x \cdot e^{-\int_0^T \mathcal{L} \dot{x}} \rightarrow e^{-E_0 T} = \frac{1}{\langle x_2 | 0 \rangle \langle 0 | x_1 \rangle} \int_{BC} \mathcal{D}x e^{-S_E}$$

Recall also that the partition function

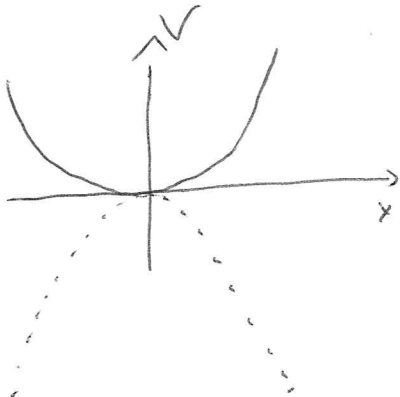
$$Z = \sum_m \langle m | e^{-H\beta} | m \rangle = \sum_m e^{-\beta E_m} = \int_{\text{PBC}} \mathcal{D}x e^{-\int_0^\beta \mathcal{L} \dot{x}} \quad \begin{cases} x(0) = x_1 \\ x(\beta) = x_1 \end{cases}$$

$$\left. \begin{aligned} E_0 &= \lim_{T \rightarrow \infty} \\ &\left\{ -\frac{1}{T} \frac{\int_{BC} \mathcal{D}x e^{-S_E}}{\langle x_2 | 0 \rangle \langle 0 | x_1 \rangle} \right\} \end{aligned} \right\}$$

Still, where is "the solution"?

$$\int_{wBc} Dx e^{-\int dY \left(\frac{\mu}{2} \frac{dx^2}{dY^2} + V(x) \right)}$$

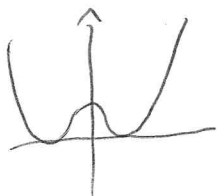
$$\frac{d^2 X}{dY^2} = + \frac{dV}{dX}$$



We see that the classical relevant solution is $x_{cl}(Y) = 0$! No move...

The solution in this case simple...

But now, suppose that you have the double-well:

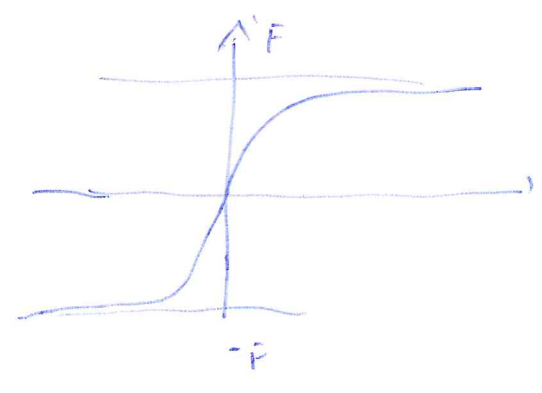
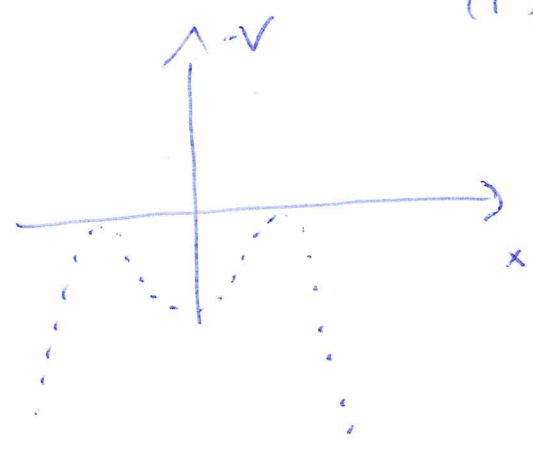


If $\langle F | e^{-HT} | F \rangle \rightarrow x(Y) = F$ is a "good" classical solution, with $S_{cl} = 0$.

But, if we want to calculate

$$\langle F | e^{-HY} | -F \rangle = \int Dx e^{-S_E}$$

$x(-\frac{Y}{2}) = -F$
 $x(\frac{Y}{2}) = F$
 $(T) > 1$



$$X_{\text{int}}(Y) = F \tanh\left(\frac{m}{2} Y\right)$$

$$S_E(X_{\text{int}}) = \int L_E dY = \int \left[\frac{1}{2} \left(\frac{dx}{dY} \right)^2 + V(x) \right] dY = M_{\text{KINK}}$$

In fact: we minimize $S_E = \int \left[\frac{1}{2} \left(\frac{dx}{dY} \right)^2 + V(x) \right] dY$

which means that the eq. $L(x) = \frac{1}{2} \left(\frac{dx}{dt} \right)^2 - V(x)$, which has

$$L = \frac{1}{2} \dot{x}^2 + U, \quad E = \frac{1}{2} \dot{x}^2 + U = \text{const}, \quad x = x(t) \text{ is a solution which extremizes the action}$$

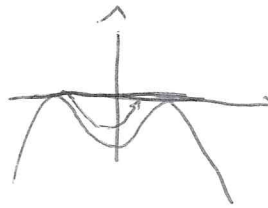
$$S = \int L dt \dots$$

Now, we have the same with: $V = -U, t \rightarrow Y, S \rightarrow S_E$ (minimal for the action with $E=0$)

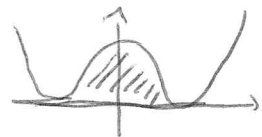
Note that:

$$S_E(X_{\text{int}}) = \int_{-\infty}^{\infty} dY L_E = \int_{-\infty}^{\infty} dY \left[\frac{1}{2} \left(\frac{dx}{dY} \right)^2 + V(x) \right] = \int_{-\infty}^{\infty} dY \left(\frac{dx}{dY} \right)^2$$

$$E=0 = \frac{1}{2} \left(\frac{dx}{dY} \right)^2 + V(x)$$



$$\frac{1}{2} \left(\frac{dx}{dY} \right)^2 = V(x)$$



$$\int_{-\infty}^{\infty} dY \left(\frac{dx}{dY} \right) \left(\frac{dx}{dY} \right) = \int_{-P}^P \frac{dx}{dY} dx = \int_{-P}^P \sqrt{2V(x)} dx = M_{\text{KINK}} = S_{\text{int}}$$

$$x = x_{\text{int}}(Y) = F \tanh\left(\frac{m}{2} Y\right)$$

(interaction action = M_{KINK})

Recall: $|S\rangle, |A\rangle$. $|S\rangle = \text{ground state}$. $|A\rangle = \text{first excited state}$.
 $|S\rangle = |0\rangle$ $|A\rangle = |1\rangle$

$$\langle S | e^{-HT} | S \rangle = \sum_{n=0}^{\infty} |\langle S | \psi_n \rangle|^2 e^{-E_n T} = e^{-E_0 T}$$

$$\langle F | e^{-HT} | F \rangle = |\langle F | S \rangle|^2 e^{-E_0 T} + |\langle F | A \rangle|^2 e^{-E_1 T} + \dots$$

$$\langle -F | e^{-HT} | -F \rangle = |\langle -F | S \rangle|^2 e^{-E_0 T} + |\langle -F | A \rangle|^2 e^{-E_1 T} + \dots$$

$$\left(\left\langle \frac{1}{\sqrt{2}} (\langle F | + \langle -F |) e^{-HT} \right\rangle \left(\frac{1}{\sqrt{2}} (|F\rangle + |-F\rangle) \right) \right) = \frac{1}{2} \left(\langle F | + \langle -F | \right) | S \rangle \left(\frac{1}{\sqrt{2}} (|F\rangle + |-F\rangle) \right) e^{-E_0 T}$$

$$\left(\left\langle \frac{1}{\sqrt{2}} (\langle F | - \langle -F |) e^{-HT} \right\rangle \left(\frac{1}{\sqrt{2}} (|F\rangle - |-F\rangle) \right) \right) = \frac{1}{2} \left(\langle F | - \langle -F | \right) | A \rangle \left(\frac{1}{\sqrt{2}} (|F\rangle - |-F\rangle) \right) e^{-E_1 T}$$

Namely:

$$\begin{cases} \langle F | - \langle -F | \rangle | S \rangle = 0 \\ \langle F | - \langle -F | \rangle | A \rangle = 0 \end{cases}$$

Ergo:

$$\begin{cases} E_0 = -\frac{1}{T} \ln \frac{\langle F | + \langle -F | \rangle e^{-HT} (|F\rangle + |-F\rangle)}{|\langle F | + \langle -F | \rangle | S \rangle|^2} = E_S \\ E_1 = -\frac{1}{T} \ln \frac{\langle F | - \langle -F | \rangle e^{-HT} (|F\rangle - |-F\rangle)}{|\langle F | - \langle -F | \rangle | A \rangle|^2} = E_A \end{cases}$$

$$E_1 - E_0 = E_S - E_A =$$

$$= -\frac{1}{T} \ln \left(\frac{1}{11^2} \left(2 \langle F | e^{-HT} | F \rangle - 2 \langle F | e^{-HT} | 1-F \rangle \right) \right)$$

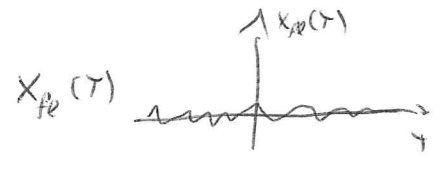
$$+ \frac{1}{T} \ln \left(\frac{1}{11^2} \left(2 \langle F | e^{-HT} | F \rangle + 2 \langle F | e^{-HT} | 1-F \rangle \right) \right)$$

$$\Delta E \approx \frac{1}{T} \frac{2 \langle F | e^{-HT} | 1-F \rangle}{\langle F | e^{-HT} | F \rangle}$$

What do do to evaluate $\langle F | e^{-HT} | -F \rangle$ and then all the rest:

$$S_E(x)$$

$$X = X_{int}(Y) + X_{fl}(Y)$$



$$S_E(x) \equiv S_E(X_{int}) + \underbrace{\frac{\delta S_E}{\delta x}}_{=0} \Big|_{X_{int}} + \frac{1}{2} \frac{\delta^2 S_E}{\delta x^2} \Big|_{X_{int}} x_{fl}^2$$

One finds:

$$S(X_{int} + \sum_m c_m X_m(Y)) = e^{-S_0} \int_{-\infty}^{\infty} \prod_n \frac{dc_n}{2\pi} e^{-\sum_n c_n^2}$$

$$[-\partial_Y^2 + V''(X_{int}(Y))] X_m = \lambda_m X_m$$

'Problems' (∞) with translational invariance.

Sum over many irrelevant contributions...

Long way to get the correct result. Here we are already

happy to have found e^{-S_0} !!!

Ergo, we know that

Instanton = solution of the euclidean e.o.m. which connects different vacua.

Relevant tunnel probability... important for energy splitting.

Soliton = (in its 'ground state' or 'rest frame') spatial solution

Soliton in $1 + D$ dimensions \leftrightarrow Instanton in $(1 + (D-1))$ dimensions

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - V \quad \partial_\mu = (\partial_0, \partial_1, \dots, \partial_D)$$

$$\partial_\mu^2 \phi - \Delta \phi = -\frac{\partial V}{\partial \phi}$$

Spatial solution

$$\Delta \phi(\vec{x}) = \frac{\partial V}{\partial \phi}$$

with finite energy (but nonzero)

$$E \equiv M_{\text{soliton}} = \int_{-\infty}^{\infty} d\vec{x} \mathcal{E} = \int_{-\infty}^{\infty} d\vec{x} \left[\frac{1}{2} (\vec{\nabla} \phi)^2 + V(\phi) \right]$$

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - V \quad \partial_\mu = (\partial_0, \partial_1, \dots, \partial_{D-1})$$

$$\mathcal{L}_E = -\frac{1}{2} (\partial_\mu^E \phi)^2 - V$$

solution of the e.o.m.

$$\Delta \phi(\vec{x}_E) = \frac{\partial V}{\partial \phi}$$

$$\vec{x}_E = \begin{pmatrix} x^1 \\ \vdots \\ x^E \\ \vdots \\ x^{D-1} \\ x^D = Y \end{pmatrix}$$

with finite action (but nonzero)

$$S_E = S_{\text{INST}} = \int d\vec{x}_E \mathcal{L}_E = \int_{-\infty}^{\infty} d\vec{x}_E \left[\frac{1}{2} (\vec{\nabla} \phi)^2 + V \right]$$

very same expression