

# High energy collisions from nonextensive perspective

Grzegorz Wilk

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X Polish Workshop on Relativistic Heavy-Ion Collisions

Unreasonable effectiveness of statistical approaches to high-energy collisions

Institute of Physics, Jan Kochanowski University; Kielce, Poland; December 14-15, 2013

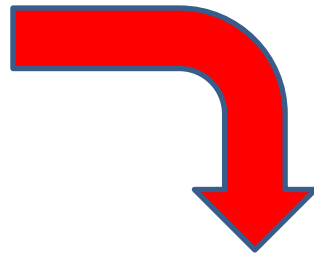
## **Collaborators:**

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- (\*) Jacek Rożynek      NCNR (DFR, Warsaw)**
- (\*) Cheuk-Yin Wong      Oak Ridge National Lab.**
- (\*) and:**
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  - T.Osada , M.Biyajima, M.Kaneyama, T.Mizoguchi,**  
**N.Nakamija , N.Suzuki (Japan);**
  - O.V.Utyuzh, W. Wolak (Poland)**

**High energy collisions from  
nonextensive perspective**

***What does it mean?....***

$$\frac{1}{T} \exp \left( - \frac{E}{T} \right)$$



$$\frac{2-q}{T} \left[ 1 - (1-q) \frac{E}{T} \right]^{\frac{1}{1-q}}$$

$$\exp (...) \rightarrow \exp_q (...)$$

**Boltzman-Gibbs statistics  $\rightarrow$  Tsallis statistics**

*q = nonextensivity parameter*

**Nonextensivity** is phenomenon which is ubiquitous in all branches of science and very well documented. It occurs always whenever:

(\*) there are **long range correlations** in the system (or „system is small” – like our Universe with respect to the gravitational interactions)

(\*) there are **memory effects** of any kind

(\*) the **phase-space** in which system operates is **limited** or has **fractal structure**

(\*) there are **intrinsic fluctuations** in the system under consideration

(\*) the process proceeds via **branching phenomena** (in multiplicative manner)

(\*) .....

# Tsallis distribution

C. Tsallis, J.Stat.Phys. **52** (1988) 479

$$\frac{2-q}{T} \left[ 1 - (1-q) \frac{E}{T} \right]^{1-q}$$

$q \rightarrow 1$

meaning of  $q$  ?

Examples of mechanisms leading to Tsallis distribution:

- q-thermodynamics
- Superstatistics
- Stochastic network approach
- Multiplicative noise
- MaxEnt (Shannon entropy)

more information:

arXiv:1307.7855

AIP1558(2013)893

$$\frac{1}{T} \exp \left( - \frac{E}{T} \right)$$

**BG**

R. Hagedorn (1965)

**BUT.... a bit of history which must be remembered....**

**First attempts to fit the whole range of  $p_T$  are from 1977 (C.Michael) (\*):**

$$f(p_T) = C \left( 1 + \frac{p_T}{p_0} \right)^{-n} \rightarrow \begin{cases} \exp\left(-\frac{n}{p_0} p_T\right) & \text{for } p_T \rightarrow 0 \\ \left(\frac{p_0}{p_T}\right)^n & \text{for } p_T \rightarrow \infty. \end{cases}$$

for  $p_T \rightarrow 0$  → "soft" (nonperturbative) physics

for  $p_T \rightarrow \infty$  → "hard" (perturbative) physics

**It is known as „QCD-inspired Hagedorn formula”**

(\*) C.Michael and L.Vanryckeghen, J.Phys. G3 (1977) L151;  
C.Michael, Prog. Part. Nucl. Phys. 2 (1979)1 See also:

Rediscovered as „QCD-inspired formula” (or „Hagedorn distribution”) in:  
G. Arnison et al. [UA1 Coll.], Phys. Lett. B118, 167 (1982);  
R. Hagedorn, Riv. Nuovo Cim. 6 (10), 1 (1983).

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**It is known as „QCD-inspired Hagedorn formula”**

**NOTICE: for  $n = \frac{1}{q-1}$  and  $p_0 = \frac{T}{q-1}$  one recovers Tsallis formula.**



# Tsallis distribution

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# Tsallis distribution

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- MaxEnt (Shannon entropy)

?

**BG**

R. Hagedorn (

more information: arXiv:1307.7855 AIP1558(2013)893

Details can be found , for example, in some our recent works:

- (\*) **AIP1558(2013)893**; *On Possible Origins of Power-law Distributions*
- (\*) **PIB727(2013)163** ; *Self-similarity in jet events following from pp collisions at LHC*
- (\*) **JPG(2012)095004**; *On the possibility of q-scaling in high-energy production processes;*
- (\*) **APPB34(2012)2047**; *Tsallis fits to  $p_T$  spectra for pp collisions at LHC;*  
**PRD 87(2013)114007**; *Tsallis fits to  $p_T$  spectra and multiple hard scattering in pp collisions at the LHC*
- (\*) **EPJA48(2012)161**; *Consequences of temperature fluctuations in observables measured in high-energy collisions;*  
**CEJP10(2012)568**; *The imprints of superstatistics in multiparticle production processes;*  
**JPG38(2011)065101**; *Equivalence of volume and temperature fluctuations in power-law ensembles ;*  
**EPJA40(2009)299**; *Power laws in elementary and heavy-ion collisions.*

... and in earlier references therein ....

# It all started from observation of

Long flying component in cosmic rays

[WW, PRD50 (1994) 2318]

(\*) *observation of deviation from the expected exponential behaviour*

(\*) *successfully interpreted in terms of cross-section fluctuation:*

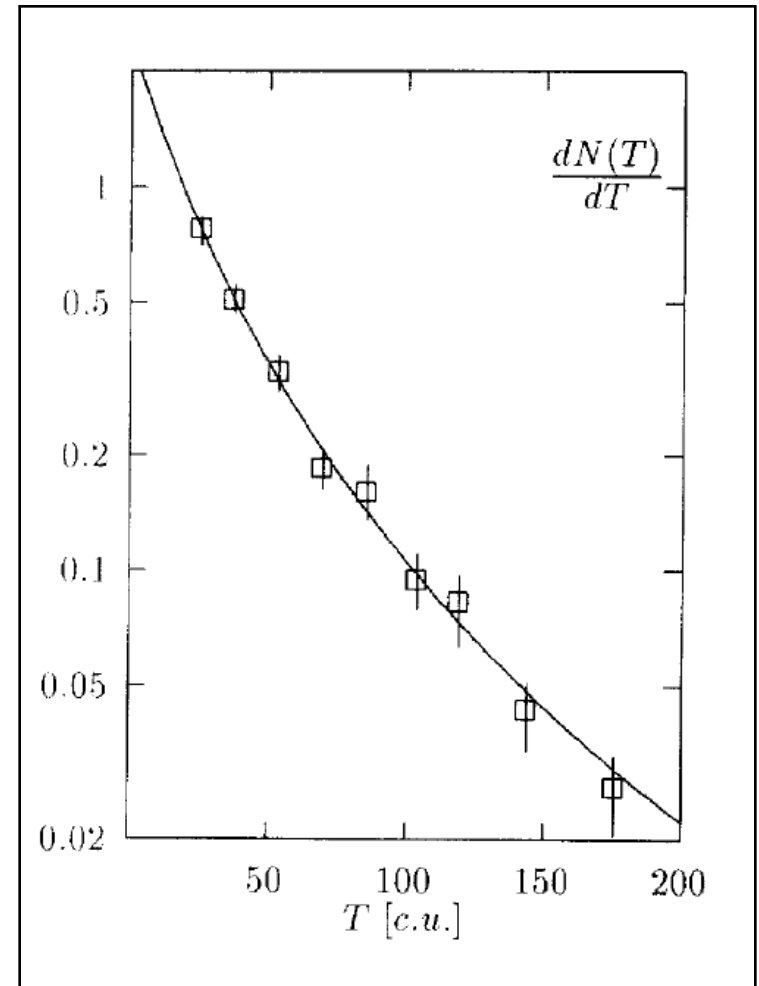
(\*) *can be also fitted by:*

$$\frac{dN}{dT} = \frac{1}{\lambda} \exp\left(-\frac{T}{\lambda}\right) \Rightarrow$$
$$\frac{dN}{dT} = \frac{2-q}{\lambda} \left[1 - (1-q)\frac{T}{\lambda}\right]^{1-q}$$

**q = 1.3**

(\*) *immediate conjecture:*

***q ⇔ fluctuations present in the system***



*Depth distributions of starting points of cascades in Pamir lead chamber Cosmic ray experiment (WW, NPB (Proc.Suppl.) A75 (1999) 191)*

GW and ZW, PRL 84 (2000) 2770 → **q** measures intrinsic (nonstatistical) fluctuations in the system

$$h(E/T) = \int_0^{\infty} f(E/T) g(1/T) d(1/T)$$

$$f(E) = \frac{1}{T} \exp\left(-\frac{E}{T}\right)$$

BG

$$g(1/T) = \frac{1}{\Gamma(\frac{1}{q-1}-s)} \frac{T_0}{q-1} \left(\frac{1}{q-1} \frac{T_0}{T}\right)^{\frac{1}{q-1}-1-s} \exp\left(-\frac{1}{q-1} \frac{T_0}{T}\right)$$

gamma distr.

$$h_q(E) = \int_0^{\infty} f(E) g(1/T) d(1/T) = \frac{2-q}{T_0} \left[1 - (1-q) \frac{E}{T_0}\right]^{\frac{1}{1-q}}$$

Tsallis

$$q = 1 + \frac{\text{Var}(T)}{\langle T \rangle^2}$$

**This is known at present as Superstatistics:** a superposition of two different statistics relevant to driven nonequilibrium systems with a stationary state and intensive parameter fluctuations [C. Beck et al., Physica A322 (2003) 267]

$$h(\mathbf{E}/\mathbf{T}) = \int_0^{\infty} f(\mathbf{E}/\mathbf{T}) g(1/\mathbf{T}) d(1/\mathbf{T})$$

$$f(\mathbf{E}) = \frac{1}{\mathbf{T}} \exp\left(-\frac{\mathbf{E}}{\mathbf{T}}\right)$$

BG

$$g(1/\mathbf{T}) = \frac{1}{\Gamma(\frac{1}{q-1} - s)} \frac{\mathbf{T}_0}{q-1} \left(\frac{1}{q-1} \frac{\mathbf{T}_0}{\mathbf{T}}\right)^{\frac{1}{q-1} - 1 - s} \exp\left(-\frac{1}{q-1} \frac{\mathbf{T}_0}{\mathbf{T}}\right)$$

gamma distr.

$$h_q(\mathbf{E}) = \int_0^{\infty} f(\mathbf{E}) g(1/\mathbf{T}) d(1/\mathbf{T}) = \frac{2-q}{\mathbf{T}_0} \left[1 - (1-q) \frac{\mathbf{E}}{\mathbf{T}_0}\right]^{\frac{1}{1-q}}$$

Tsallis

$$q = 1 + \frac{\text{Var}(\mathbf{T})}{\langle \mathbf{T} \rangle^2}$$

## q-thermodynamics

This will not be the subject of my presentation but this view is reasonable and it was shown that nonextensive-thermodynamics satisfies all demands of the usual thermodynamics applied to systems that possess intrinsic fluctuations, memory effects, are limited and/or nonhomogeneous etc. Cf., for example:

O.J.E.Maroney, PRE89(2009)061141

T.S.Biro, *Is there a temperature?* (Springer 2011)

T.S.Biro et al., JPG37(2010)094027; PRE83(2011)061147;  
EPJ Web of Conf. 13 (2011)05004; PLB718 (2012) 125.

J.Cleymans et al., JPG39(2012)025006; EPJA48(2012)160

J.Rożynek, G.Wilk, JPG36(2009)125108; EPJ Web of Conf. 13(2011)0500 2

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<https://indico.cern.ch/conferenceDisplay.py?confId=285968>

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## Tsallis function

Monday, 13 January 2014 from 09:00 to 11:00 (Europe/Zurich)  
at CERN ( 4-3-006 - TH Conference Room )

Monday, 13 January 2014

09:00 - 09:30

A statistical mechanical view on high energy physics 30'

*The use of the celebrated Boltzmann–Gibbs entropy and statistical mechanics is justified for ergodic-like systems. In contrast, complex systems typically require more powerful theories. We will provide a brief introduction to nonadditive entropies and associated nonextensive statistical mechanics, and then present some recent applications to systems such as high-energy collisions, black holes and others.*

Speaker: Constantino Tsallis

09:30 - 10:00

The Tsallis Distribution at the LHC. 30'

*In this talk we review applications of the Tsallis distribution in high energy physics covering p-p, p-Pb and Pb-Pb collisions at ALICE, ATLAS and CMS.*

*Results from STAR and PHENIX will also be reviewed.*

*The energy dependence of the three parameters, volume,  $q$  and  $T$  will be discussed in great detail.*

Speaker: Jean Cleymans (University of Cape Town)



# Surprisingly Close Tsallis Fits to High Transverse Momentum Hadrons Produced at LHC

## - confrontation with pQCD

*C.-Y. Wong, G. Wilk:*

- *Acta Phys. Polon. B43 (2012) 2047*
- *Phys. Rev. D87 (2013) 114007*
- *arxiv: 1309.7330v1 [hep-ph] – proc.of Low-X 2013*

*The Open Nuclear & Particle Physics Journal, in press*

# Example of Tsallis distribution: application to PHENIX data

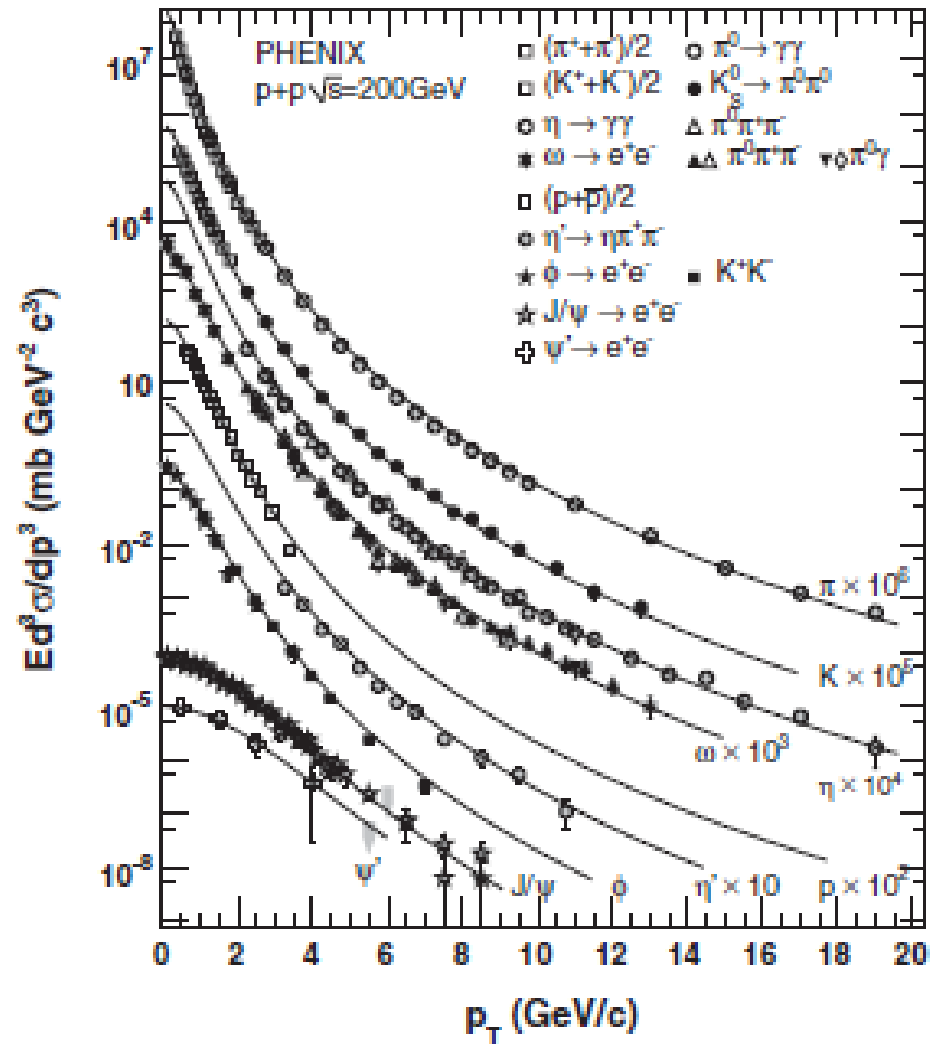
$$E \frac{d\sigma}{d^3p} = \frac{A}{\left(1 + \frac{m_T - m}{nT}\right)^n}; \quad n = \frac{1}{q-1}$$

Phenix Coll., PRD 83,  
052004 (2011)

Fig. 12  
Invariant differential  
cross sections of  
different particles  
measured in p p  
collisions at  $\sqrt{s} = 200$   
GeV in various decay  
modes.

$q=1.1$

$n=10$

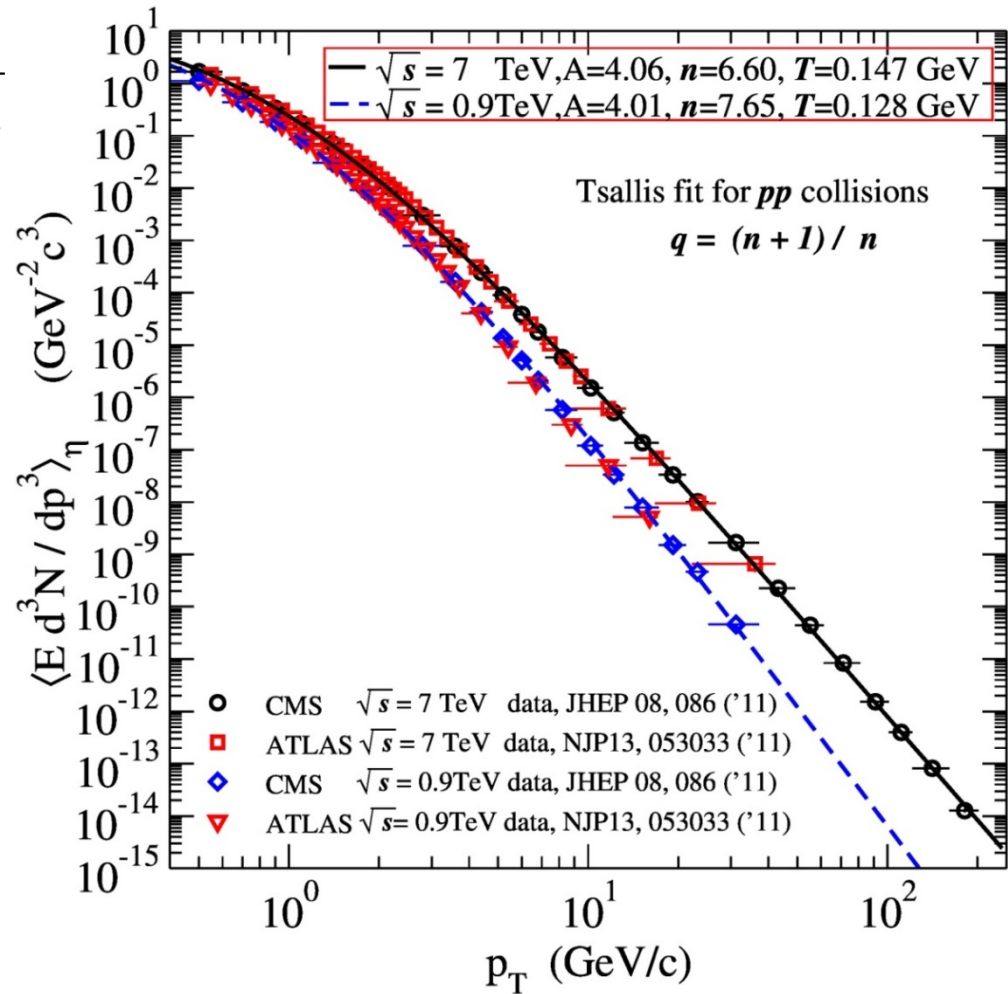


# Tsallis distribution can describe LHC $p_T$ distributions

$$E \frac{d\sigma}{d^3p} = \frac{A}{\left(1 + \frac{m_T - m}{nT}\right)^n}; \quad n = \frac{1}{q-1}$$

Good Tsallis fits have been obtained

$$\left\{ \begin{array}{l} \text{for } \sqrt{s} = 7 \text{ TeV, } \quad n = 6.60 \\ \quad \quad \quad \quad \quad \quad q = 1.15 \\ \\ \text{for } \sqrt{s} = 0.9 \text{ TeV, } \quad n = 7.65 \\ \quad \quad \quad \quad \quad \quad q = 1.13 \end{array} \right.$$



Wong and Wilk, ActaPhysPol.B43,2047(2012)

# Tsallis distribution can describe LHC $p_T$ distributions

$$E \frac{d\sigma}{d^3p} = \frac{A}{\left(1 + \frac{m_T - m}{nT}\right)^n}; \quad n = \frac{1}{q-1}$$

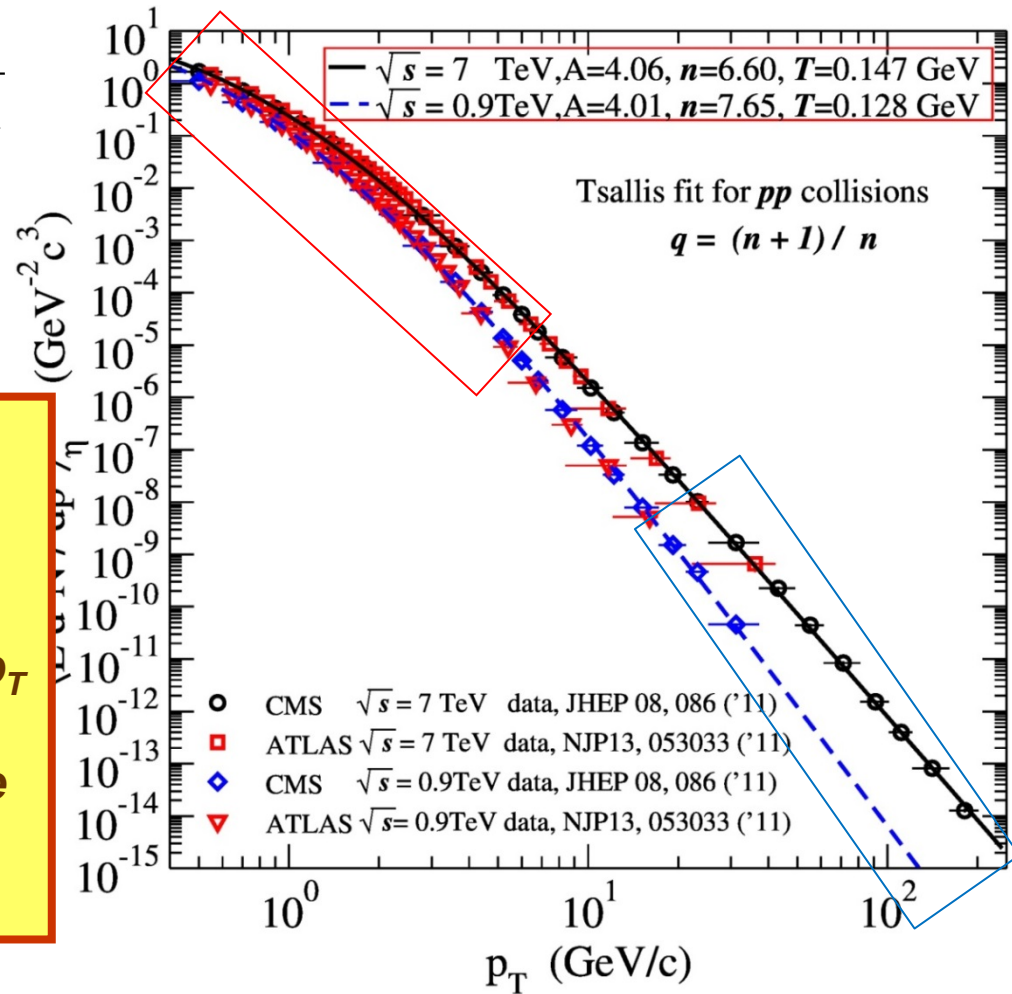
Good Tsallis fits have been

**Notice that:**

*Tsallis fit describes*

**THE WHOLE RANGE OF VARIABLE  $p_T$**

*notwithstanding the fact that they are believed to correspond to different dynamics*



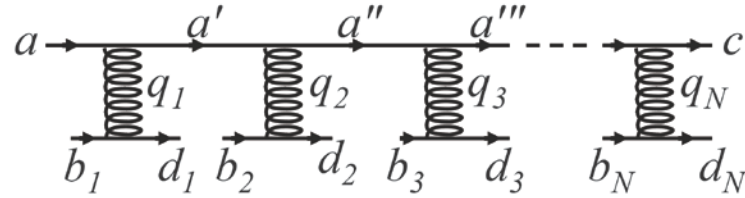
Wong and Wilk, ActaPhysPol.B43,2047(2012)

## Good Tsallis $p_T$ fits raise questions

- What is the physical meaning of  $n$  ?
- If  $n$  is the power index of  $1/p_T^n$ , then why is  $n \sim 7$ ,  
whereas pQCD predicts  $n \sim 4$  ?
- Why are there only few degrees of freedom over such a large  $p_T$  domain ?
- Do multiple parton collisions play any role in modifying the power index  $n$ ?
- Does the hard scattering process contribute significantly to the production of low- $p_T$  hadrons?
- What is the origin of low- $p_T$  part of Tsallis fits ?
- .....

# Parton Multiple Scattering

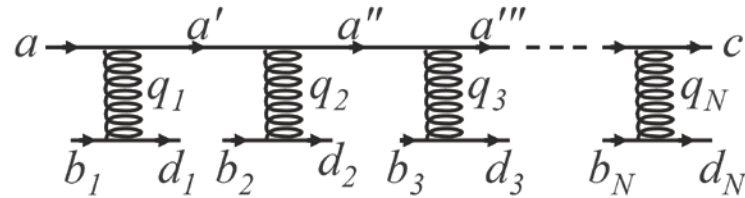
For the collision of a parton  $a$  with a target of  $A$  partons in sequence without centrality selection, the differential  $c_T$  distribution is given by



$$\begin{aligned}
 \frac{d\sigma_H(aA \rightarrow cX)}{dc_T} &= A \frac{\alpha_s^2}{c_T^4} \int d\vec{b} T(b) \\
 &+ \frac{A(A-1)}{2} \frac{16\pi\alpha_s^4}{c_T^6} \ln\left(\frac{c_T}{2p_0}\right) \int d\vec{b} [T(b)]^2 \\
 &+ \frac{A(A-1)(A-2)}{6} \frac{936\pi^2\alpha_s^4}{c_T^8} \left[ \ln\left(\frac{c_T}{2p_0}\right) \right]^2 \int d\vec{b} [T(b)]^3
 \end{aligned}$$

# Parton Multiple Scattering

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$$\frac{d\sigma_H(aA \rightarrow cX)}{d\vec{c}_T} = A \frac{\alpha_s^2}{c_T^4} \int d\vec{b} T(b) + \frac{A(A-1)}{2} \frac{16\pi\alpha_s^4}{c_T^6} \ln\left(\frac{c_T}{2p_0}\right) \int d\vec{b} [T(b)]^2 + \frac{A(A-1)(A-2)}{6} \frac{936\pi^2\alpha_s^4}{c_T^8} \left[\ln\left(\frac{c_T}{2p_0}\right)\right]^2 \int d\vec{b} [T(b)]^3$$

The contribution from the single collision dominates, but high multiple collisions come in at lower  $p_T$  and for more central collisions

## Good Tsallis $p_T$ fits raise questions

- What is the physical meaning of  $n$  ?
- If  $n$  is the power index of  $1/p_T^n$ , then why is  $n \sim 7$ ,

**We seek answers to these questions from the  
relativistic hard-scattering (RHS) model**

[ Blankebecler, Brodsky et al., PRD10(1974)2973; D12(1975)3469; D15(1977)3321 ]

OR LOW- $p_T$  HADRONS ?

- What is the origin of low- $p_T$  part of Tsallis fits ?

.....



## RHS Model - The Power Index in Jet Production

For  $gg \rightarrow gg$ ,  $qq' \rightarrow qq'$ , and  $qg \rightarrow qg$ ,  $\frac{d\sigma(ab \rightarrow cd)}{dt} \propto \frac{\alpha_s^2(c_T)}{c_T^4}$

The analytical formula is

$$E_c \frac{d\sigma(AB \rightarrow cX)}{d^3c} \propto \frac{1}{(c_T/\sqrt{s})^{1/2}} \frac{\alpha_s^2(c_T)}{c_T^4} (1-x_{a0}(c_T))^g (1-x_{b0}(c_T))^g$$

For  $\eta \sim 0$ ,  $x_{a0}(c_T) = x_{b0}(c_T) = 2x_c(c_T) = 2c_T/\sqrt{s}$ , the analytical formula is

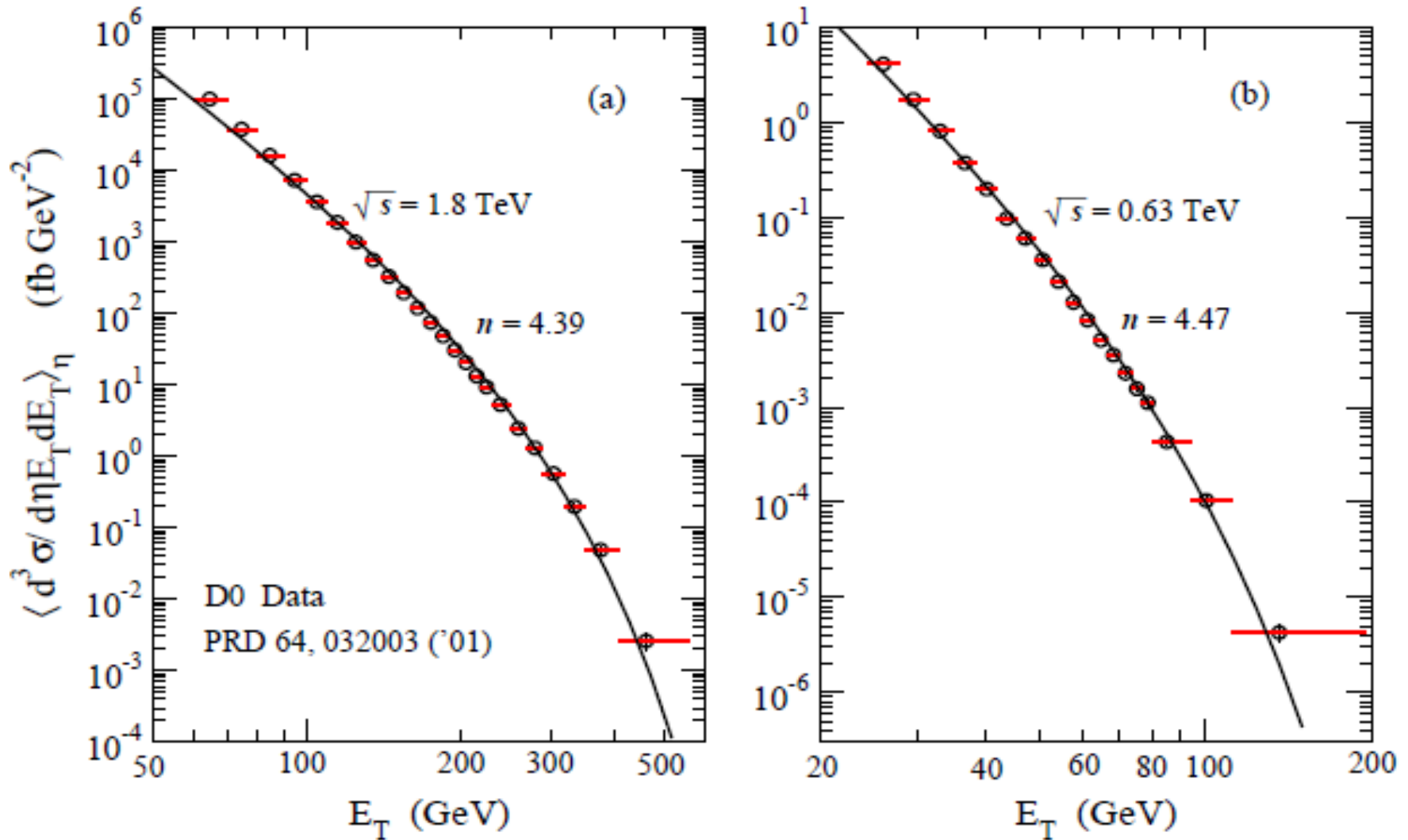
$$E_c \frac{d\sigma(AB \rightarrow cX)}{d^3c} \propto \frac{\alpha_s^2(c_T) (1-2x_c(c_T))^g (1-2x_c(c_T))^g}{c_T^{4+1/2}/(\sqrt{s})^{1/2}}$$

We change notations  $c \rightarrow p$  and introduce power index  $n$

$$E_p \frac{d\sigma(AB \rightarrow pX)}{d^3p} \propto \frac{\alpha_s^2(p_T) (1-2x_c(p_T))^g (1-2x_c(p_T))^g}{p_T^n / (\sqrt{s})^{1/2}} \quad (19)$$

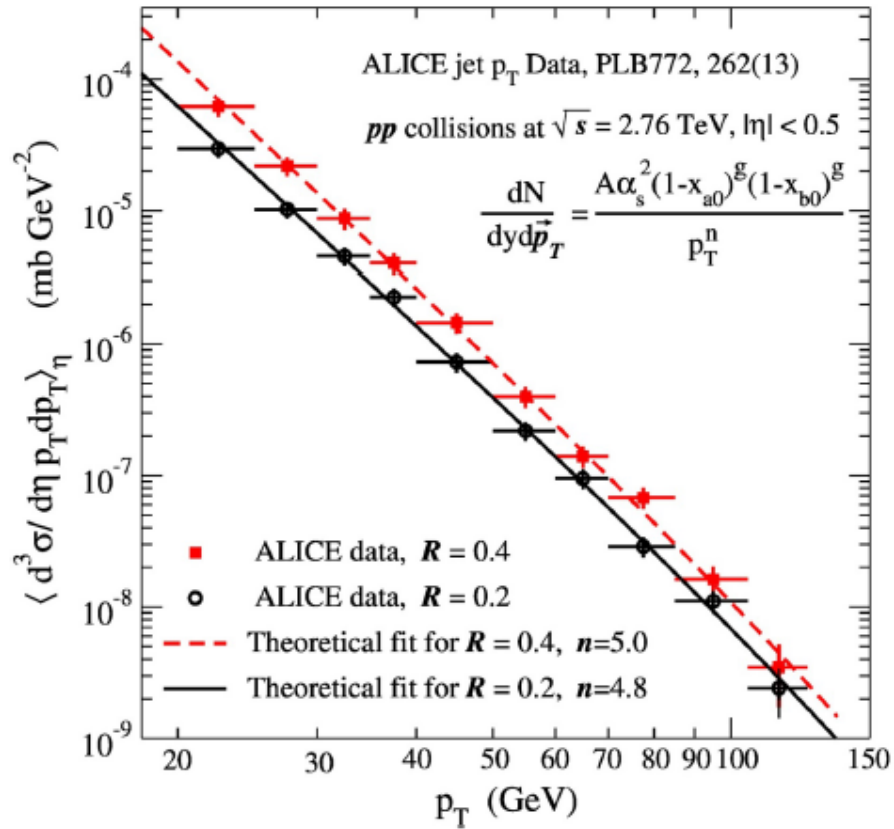
where  $n = 4 + 1/2$  for LO pQCD.  $g_{a,b} = g = 6 - 10$  (we take  $g = 6$  [18])

## D0 jet data can be described by RHS model

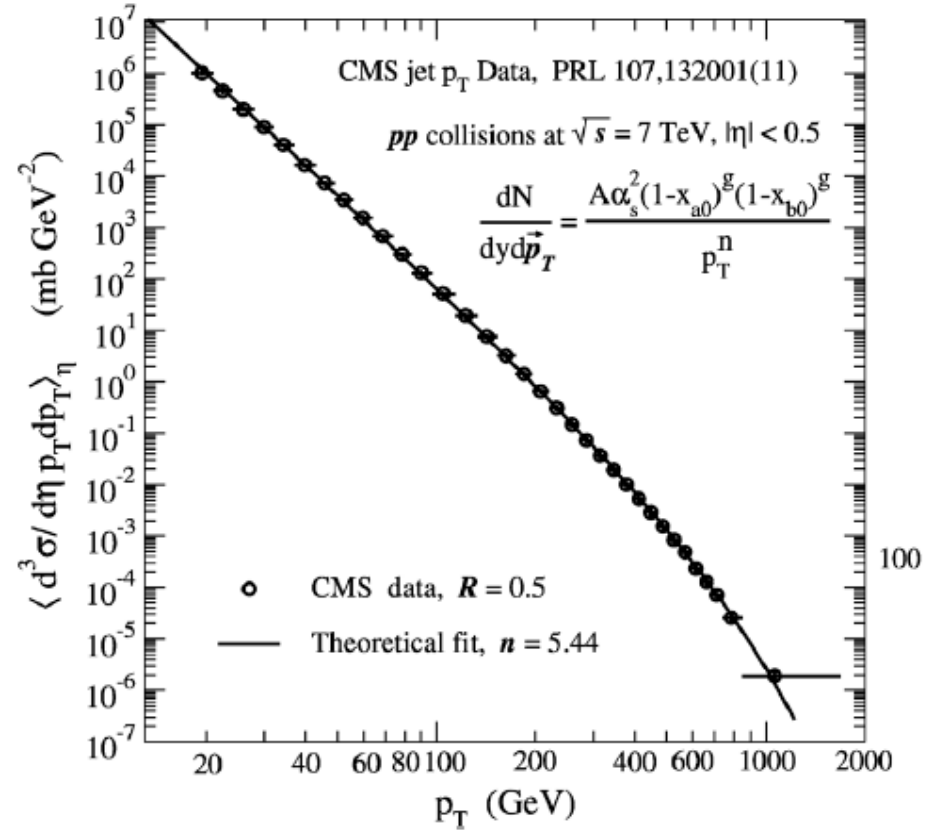


Comparison of the relativistic hard-scattering model results for jet production, Eq. (22) (solid curves), with experimental  $d\sigma/d\eta E_T dE_T$  data from the D0 Collaboration, for hadron jet production within  $|\eta| < 0.5$ , in  $\bar{p}p$  collision at (a)  $\sqrt{s} = 1.80 \text{ TeV}$ , and (b)  $\sqrt{s} = 0.63 \text{ TeV}$ .

# ALICE and CMS jet data can be described by RHS model



$n$  predicted from pQCD is 4.5



$n$  predicted from pQCD is 4.5

Except for the CMS data at 7 TeV that may need further re-examination, the power indices extracted for hadron jet production are in approximate agreement with the value of  $n=4.5$  in Eq. (19) and with previous analysis in [10], indicating the approximate validity of the hard scattering model for jet production in hadron-hadron collisions, with the predominant  $\alpha_s^2 / c_T^4$  parton-parton differential cross section as predicted by pQCD.

Collaboration	$\sqrt{s}$	$R$	$\eta$	$n$
D0	$\bar{p}p$ at 1.80 TeV	0.7	$ \eta  < 0.7$	4.39
D0	$\bar{p}p$ at 0.63 TeV	0.7	$ \eta  < 0.7$	4.47
ALICE	$pp$ at 2.76 TeV	0.2	$ \eta  < 0.5$	4.78
ALICE	$pp$ at 2.76 TeV	0.4	$ \eta  < 0.5$	4.98
CMS	$pp$ at 7 TeV	0.5	$ \eta  < 0.5$	5.39

[10] F. Arleo, S. Brodsky, D. S. Hwang, and A. M. Sickles, Phys. Rev. Lett. 105, 062002 (2010).

## Evolution from jet to hadrons

The evolution from a jet to hadrons passes through the stages of

(i) showering (and/or fragmentation),

(ii) hadronization.

# Phenomenological Modifications for Hadron Production

**Jet  
production**



$$E_c \frac{d\sigma^3(AB \rightarrow cX)}{dc^3} = \frac{A\alpha_s^2(Q^2(c_T)) (1-x_{a0})^{g_a + \frac{1}{2}} (1-x_{b0})^{g_b + \frac{1}{2}}}{c_T^n \sqrt{1-x_c}}$$

**hadrons**



(\* ) For the case of hadron production, it is necessary to take into account additional effects. Jets undergo fragmentation and hadronization to produce the observed hadrons.

(\* ) For example: from the fragmentation function for a parent parton jet to fragment into hadrons, an observed hadron **p** of transverse momentum **p<sub>τ</sub>** can be estimated to arise (on the average) from the fragmentation of a parent jet **c** with transverse momentum **<c<sub>τ</sub>> = 2.3p<sub>τ</sub>** [11].

[11] C. Y. Wong and G. Wilk, Phys. Rev. D87, 114007 (2013).

## Effects of showering (and/or fragmentation) on power law

For linear fragmentation where  $p=zc$ :

$$\begin{aligned}
 E_p \frac{d\sigma(AB \rightarrow pX)}{d^3 p} &= \int dz D_{p/c}(z) \int d^4 c \frac{d\sigma(AB \rightarrow cX)}{d^4 c} \delta^{(4)}(p - zc) \\
 &= \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} \frac{\alpha_s^2(c_T)(1-x_c(c_T))^g (1-x_c(c_T))^g}{p_T^{4+1/2}} \\
 &\approx \frac{\alpha_s^2(\bar{c}_T)(1-x_c(\bar{c}_T))^g (1-x_c(\bar{c}_T))^g}{p_T^{4+1/2}}
 \end{aligned}$$

where

$$\bar{c}_T = p_T \left\langle \frac{1}{z} \right\rangle$$

and

$$\left\langle \frac{1}{z} \right\rangle = \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} \left( \frac{1}{z} \right) \bigg/ \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2}$$

## Effects of showering (and/or fragmentation) on power law

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 &= \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} \frac{\alpha_s^2(c_T)(1-x_c(c_T))^g (1-x_c(c_T))^g}{p_T^{4+1/2}} \\
 &\approx \frac{\alpha_s^2(\bar{c}_T)(1-x_c(\bar{c}_T))^g (1-x_c(\bar{c}_T))^g}{\underbrace{p_T^{4+1/2}}_{\text{circled}}}
 \end{aligned}$$

where

$$\bar{c}_T = p_T \left\langle \frac{1}{z} \right\rangle$$

and

$$\left\langle \frac{1}{z} \right\rangle = \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} \left( \frac{1}{z} \right) \bigg/ \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2}$$

**The power law and power index are preserved under  $p=zc$  fragmentation**



## Effects of showering (and/or fragmentation) on power law

However, as a result of parton showering involving virtuality degradation, the leading hadron momentum  $p$  and the showering parton momentum  $c$  **may not be linearly related** and one can expect that

$$\mathbf{p = z c^{1-\mu}}$$

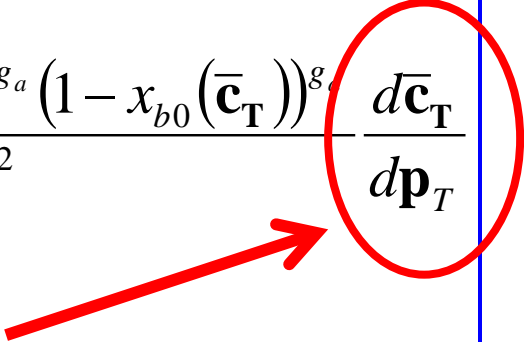
where parameter  $\mu$  describes details of virtuality degradation. As a consequence, **the power index can be changed under parton showering.**

After the fragmentation and showering of the parton  $c$  to hadron  $p$ , the hard-scattering cross section for the scattering in terms of hadron momentum  $p_T$  becomes

$$\frac{d^3\sigma(AB \rightarrow pX)}{dyd\mathbf{p}_T} = \frac{d^3\sigma(AB \rightarrow cX)}{dyd\bar{\mathbf{c}}_T} \frac{d\bar{\mathbf{c}}_T}{d\mathbf{p}_T}$$

$$\propto \frac{\alpha_s^2(\bar{\mathbf{c}}_T)(1-x_{a0}(\bar{\mathbf{c}}_T))^{g_a}(1-x_{b0}(\bar{\mathbf{c}}_T))^{g_b}}{c_T^{4+1/2}} \frac{d\bar{\mathbf{c}}_T}{d\mathbf{p}_T}$$

where

$$\frac{d\bar{\mathbf{c}}_T}{d\mathbf{p}_T} = \frac{1}{1-\mu} \left( a \frac{p_T}{p_{T0}} \right)^{\frac{2\mu}{1-\mu}}$$


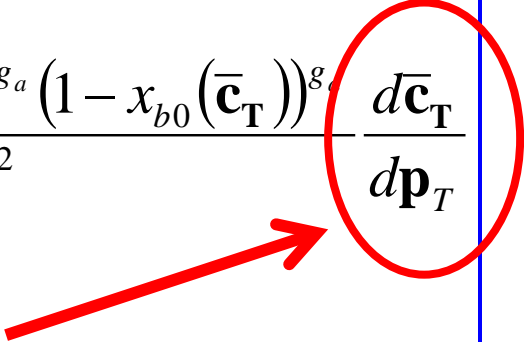
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Here  $a$  is a constant relating the scales of virtuality and transverse momentum. Therefore under the fragmentation  $c \rightarrow p$ , the hard scattering cross section for  $AB \rightarrow pX$  becomes:

$$\frac{d^3\sigma(AB \rightarrow pX)}{dyd\mathbf{p}_T} \propto \frac{\alpha_S^2(\bar{\mathbf{c}}_T)(1-x_{a0}(\bar{\mathbf{c}}_T))^{g_a}(1-x_{b0}(\bar{\mathbf{c}}_T))^{g_b}}{p_T^{n'}}$$

$$n' = \left( \frac{n-2\mu}{1-\mu} \right) \quad \text{with} \quad n = 4 + 1/2 \quad \Rightarrow \quad \mu = \left( \frac{n'-n}{n'-2} \right)$$

After all this one gets power-law behavior:

$$\frac{d^3\sigma(AB \rightarrow pX)}{dyd\mathbf{p}_T} \propto \frac{\alpha_S^2(\bar{\mathbf{c}}_T)(1-x_{a0}(\bar{\mathbf{c}}_T))^{g_a}(1-x_{b0}(\bar{\mathbf{c}}_T))^{g_a}}{p_T^{n'}} \quad (*)$$

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but it is not Tsallis formula - low  $p_T$  behavior is not correct.

The proposed possible remedy is to replace the usual parameter  $p_0$  ( $\sim 1 \div 2$  GeV) dividing phase space into part governed by „soft physics „ ( $p_T < p_0$ ) from that governed by „hard physics“ ( $p_T \geq p_0$ ) by regularizing denominator in (\*), for example by using:

$$\frac{d^3\sigma(AB \rightarrow pX)}{dyd\mathbf{p}_T} \propto \frac{\alpha_S^2(\bar{\mathbf{c}}_T)(1-x_{a0}(\bar{\mathbf{c}}_T))^{g_a}(1-x_{b0}(\bar{\mathbf{c}}_T))^{g_a}}{\left(1 + \frac{m_T}{m_{T0}}\right)^n} \quad (\oplus)$$

$$m_T = \sqrt{m^2 + p_T^2}$$

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Notice that we have just used the form of Tsallis („Hagedorn”) formula showed at the beginning:

$$\mathbf{E} \frac{d\sigma}{d^3\mathbf{p}} = \frac{\mathbf{A}}{\left(1 + \frac{\mathbf{m}_T - \mathbf{m}}{\mathbf{nT}}\right)^{\mathbf{n}}}$$

$$\frac{d^3\sigma(AB \rightarrow pX)}{dyd\mathbf{p}_T} \propto \frac{\alpha_S^2(\bar{\mathbf{c}}_T)(1-x_{a0}(\bar{\mathbf{c}}_T))^{g_a}(1-x_{b0}(\bar{\mathbf{c}}_T))^{g_a}}{\left(1 + \frac{m_T}{m_{T0}}\right)^n} \quad (\oplus)$$

$$m_T = \sqrt{m^2 + p_T^2}$$

In fact, in actual calculation, in addition to the replacement

$$(1/p_T)^n \rightarrow 1/(1 + p_T/p_0)^n$$

**we also regularize in similar manner the coupling constant for small values of  $p_T$**

(following method proposed in hadron spectroscopic studies by C. Y. Wong, E. S.

Swanson, and T. Barnes, Phys. Rev. C, 65, 014903 (2001)):

$$\alpha_s(\mathbf{p}_T) = \frac{12\pi}{27 \ln(C + \mathbf{p}_T^2 / \Lambda_{\text{QCD}}^2)},$$

$$\Lambda_{\text{QCD}} = 0.25 \text{ GeV} \Rightarrow \alpha_s(M_Z^2) = 0.1184;$$

$$C = 10 \Rightarrow \alpha_s(Q \propto \Lambda_{\text{QCD}}) \approx 0.6 \text{ in hadron spectroscopy studies}$$



Experiments measure the differential yield in nonsingle-diffractive events, which in our case is

$$\begin{aligned} \frac{d^3 N(AB \rightarrow pX)}{dy d\mathbf{p}_T} &= \sqrt{1 - \frac{m^2}{m_T^2 \cosh^2 y}} A \frac{\alpha_S^2(\bar{\mathbf{c}}_T) (1 - x_{a0}(\bar{\mathbf{c}}_T))^{g_a} (1 - x_{b0}(\bar{\mathbf{c}}_T))^{g_a}}{\left(1 + \frac{m_T}{m_{T0}}\right)^n} = \\ &= \sqrt{1 - \frac{m^2}{m_T^2 \cosh^2 y}} A \alpha_S^2(\bar{\mathbf{c}}_T) (1 - x_{a0}(\bar{\mathbf{c}}_T))^{g_a} (1 - x_{b0}(\bar{\mathbf{c}}_T))^{g_a} \cdot \\ &\quad \cdot \left[1 - (1 - q) \frac{m_T}{T}\right]^{\frac{1}{1-q}} \end{aligned}$$

where  $q = 1 + \frac{1}{n}$  and  $T = \frac{m_{T0}}{q - 1}$

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$$\bullet \left[ 1 - (1 - q) \frac{m_T}{T} \right]^{\frac{1}{1 - q}}$$

Looks like Tsallis...

... but with quite complicated prefactor

## Analysis of hadron $p_T$ distributions

Two ways to regulate the cross sections at low  $p_T$  were used :

I. Linear  $m_T$  :  $p_T \rightarrow (m_{T0} + m_T)$

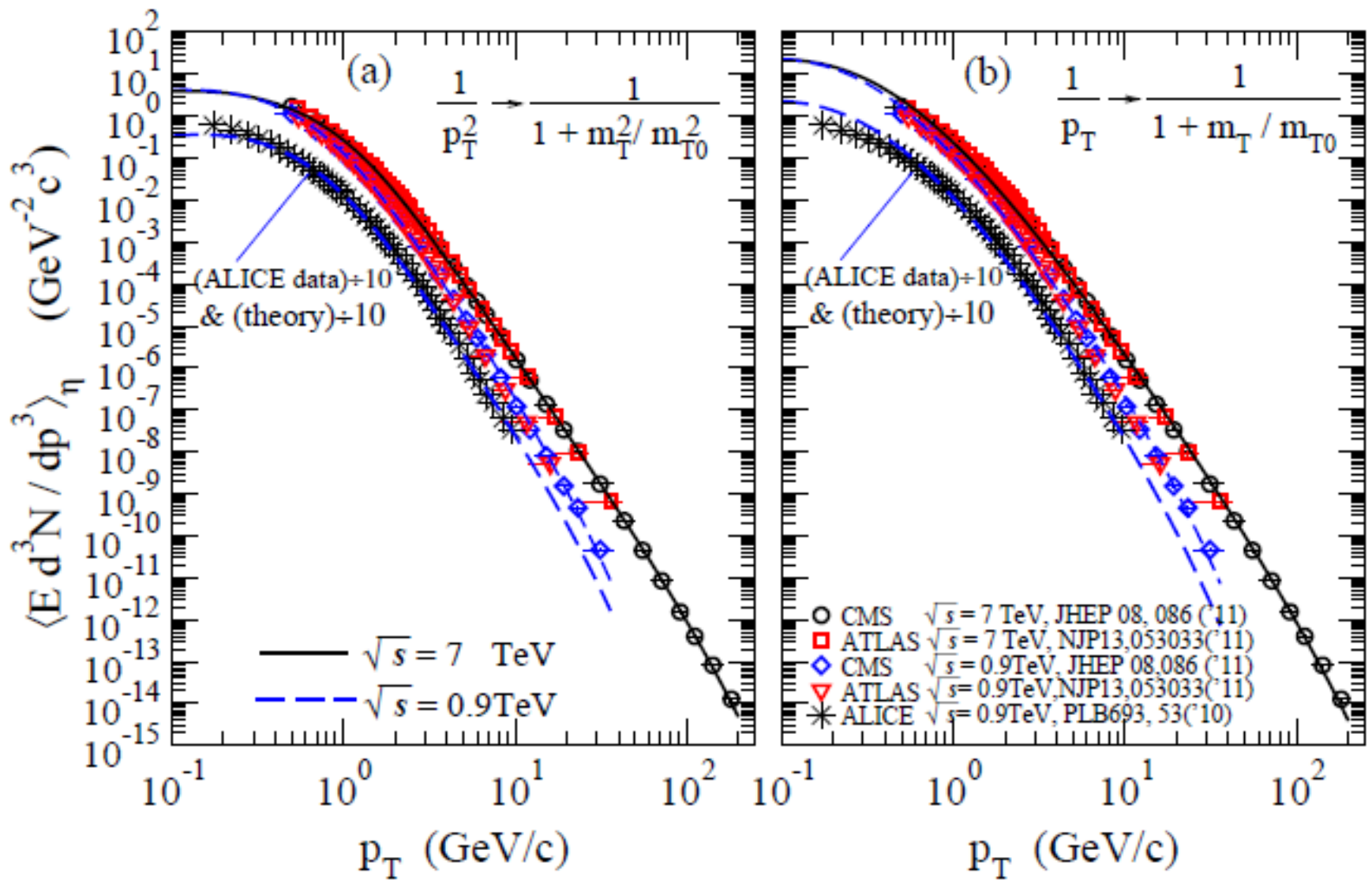
$$E_p \frac{d\sigma(AB \rightarrow pX)}{d^3 p} = \frac{A \alpha_s^2(\bar{c}_T) (1 - 2x_c(\bar{c}_T))^g (1 - 2x_c(\bar{c}_T))^g}{(m_{T0} + m_T)^n}. \quad (24)$$

II. Quadratic  $m_T$  :  $p_T^2 \rightarrow (m_{T0}^2 + m_T^2)$

$$E_p \frac{d\sigma(AB \rightarrow pX)}{d^3 p} = \frac{A \alpha_s^2(\bar{c}_T) (1 - 2x_c(\bar{c}_T))^g (1 - 2x_c(\bar{c}_T))^g}{(m_{T0}^2 + m_T^2)^{n/2}}. \quad (25)$$

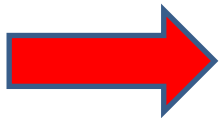
where  $\bar{c}_T = p_T \left\langle \frac{1}{z} \right\rangle$ ;  $\left\langle \frac{1}{z} \right\rangle = \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} \left( \frac{1}{z} \right) / \int \frac{dz}{z^2} D_{p/c}(z) z^{4+1/2} = 2.33$

We search for  $n$  that fits the hadron  $p_T$  spectra.



Comparison of the experimental data for hadron production in pp collisions at the LHC with the relativistic hard scattering model results (solid and dashed curves) (a) using Eq. (25), with a quadratic  $m_T$  dependence of the regulating function, and (b) using Eq. (24), with a linear  $m_T$  dependence of the regulating function. In both cases regularized coupling constant  $\alpha_s$  was used.

	Linear $m_T$ Eq. (24)		Quadratic $m_T^2$ Eq. (25)	
	$\sqrt{s}=7\text{TeV}$	$\sqrt{s}=0.9\text{TeV}$	$\sqrt{s}=7\text{TeV}$	$\sqrt{s}=0.9\text{TeV}$
$n$	5.69	5.86	5.45	5.49
$m_{T0}$ (GeV)	0.804	0.634	1.09	0.837



(\* ) For pp collisions at the LHC the power index extracted from hadron spectra has the value of  $n \sim 6$  and is slightly greater than the power indices of  $n \sim 4-5$  extracted from jet transverse differential cross sections.

(\* ) Fragmentation and showering processes increase therefore slightly the value of the power index  $n$  of the transverse spectra.

## Conclusions:

- A simple Tsallis formula can describe data with a power index of  $n \sim 6.6 - 7.6$
- A power law with a power index of  $n \sim 4 - 5$  can describe the  $p_T$  spectra of **jets**.
- A **regularized** power law with a power index of  $n \sim 5.5 - 6$  can describe (together with **regularized** coupling constant) the  $p_T$  spectra of **hadrons** for all  $p_T$ .
- The power index  $n$  becomes larger as a **jet** evolves into **hadrons**.



# Tsallis distribution from Shannon entropy

Example: Shannon entropy

leads to:

(\*) exponential for condition

(\*) Gauss distribution for condition

(\*) gamma distribution for

(\*) Cauchy distribution for

$$S = - \int f(x) \ln[f(x)] dx$$

$$\langle x \rangle = \text{const}$$

$$\langle x^2 \rangle = \text{const}$$

$$\langle \ln(x) \rangle = \text{const}$$

$$\langle \ln(1+x^2) \rangle = \text{const}$$

.....

In general: the maximum entropy density for  $f(x)$  satisfying constraint

$$\int f(x) h(x) dx = \text{const},$$

where  $h(x)$  is some function of  $x$ , is of the form

$$f(x) = \exp[\lambda_0 + \lambda h(x)].$$

The constants  $\lambda_0$  and  $\lambda$  are chosen so that  $f(x)$  is normalized and satisfies the constraint.

# Tsallis distribution from Shannon entropy

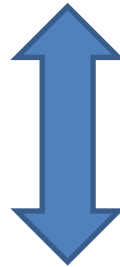
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E.Rufeil Fiori, A.Plastino,; *A Shannon-Tsallis transformation*, Physica A392, 1742 (2013):

S.Presse, K.Ghosh, J.Lee, K..A. Dill; *Nonadditive Entropies Yield Probability Distributions with Biases not Warranted by the Data*; PRL 111, 180604 (2013)

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**Important question for practitioners using Tsallis or Shannon**

**approach to describe their respective data/results: does it mean**

**that they are simply equivalent?**

S.Presse, K.Ghosh, J.Lee, K..A. Dill; *Nonadditive Entropies Yield Probability Distributions with Biases not Warranted by the Data*; PRL 111, 180604 (2013)

# Tsallis distribution from Shannon entropy

In particular, condition:

$$\langle z \rangle = z_0 = (q-1)/(2-q)$$

where

$$z = \ln[1 - (1 - q)E/T_0]$$

results in

$$f(z) = (1/z_0) \exp(-z/z_0)$$

i.e., in Tsallis distribution:

$$\begin{aligned} f(\mathbf{E}) &= \frac{1}{(1+z_0)T_0} \left( 1 + \frac{z_0}{1+z_0} \frac{\mathbf{E}}{T_0} \right)^{\frac{1+z_0}{z_0}} \\ &= \frac{2-q}{T_0} \left[ 1 - (1-q) \frac{\mathbf{E}}{T_0} \right]^{\frac{1}{1-q}} \end{aligned}$$

# Tsallis distribution from Shannon entropy

**Interesting:** condition imposed by

$$\langle z \rangle = z_0 = \frac{q-1}{2-q} \quad \text{where} \quad z = \ln \left[ 1 - (1-q) \frac{x}{x_0} \right]$$

is natural for the multiplicative noise described by

$$\frac{dp}{dt} + \gamma(t)p = \xi(t)$$

There is connection between the kind of noise in this process and the condition imposed in the MaxEnt approach:

- (\*) **For processes described by additive noise,  $dx/dt = \xi(t)$ ,** one has **exponential distributions**. The natural condition for them is that imposed on **the arithmetic mean**,  $\langle x \rangle = c + E(\xi)t$ .
- (\*) **For the multiplicative noise,  $dx/dt = x\gamma(t)$ ,** one has a **power law distribution** for which the natural condition is that imposed on **the geometric mean**,  $\langle \ln x \rangle = c + E(\gamma)t$ .

# Tsallis distribution from Shannon entropy

**For interested**: more on this subject can be learned from

(\*) <http://arxiv.org/abs/cond-mat/0507414v1>

A.Rostovtsev, *On a geometric mean and power-law statistical distributions.*

(\*) <http://itia.ntua.gr/1127>

S.M. Papalexiou and D. Koutsoyiannis ,  
Entropy maximization,  $p$ -moments and  
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# Summary

**(\*) Tsallis distribution** (especially in the form of „QCD-inspired Hagedorn distribution”) can be - at least - regarded as the handy (two parameter) parametrization of data..

**(\*) But it can be also associated**

- (i) with the existence of some intrinsic fluctuations in the hadronizing system;**
- (ii) with fact that in reality we predominantly deal with systems of interacting, not free, particles but still try to impose on them BG statistics (distribution);**
- (iii) with fact that our thermal models assume homegeneous, infinite etc. heat baths, which are not found in most of the hadronizing systems we consider;**
- (iv) with the existence of different scales, branching phenomena , multiplicative subprocesses – all leading to power rather than exponential distributions (the best representation of which for all values of relevant variables is Tsallis distribution).**

***Thank you***



## Information entropy

- Information entropy is a purely probabilistic concept and is regarded as a measure of the uncertainty related to a random variable (RV).
- In literature there are more than twenty different entropy measures [1], proposed mainly as generalizations of Boltzmann-Gibbs-Shannon (BGS) entropy, which is the most famous and well justified entropy measure. The BGS entropy for a non-negative continuous RV  $X$  with density function  $f_X(x)$  is defined as

$$S_{\text{BGS}} = - \int_0^{\infty} f_X(x) \ln f_X(x) dx \quad (1)$$

- A famous generalization, proposed by Rényi in 1961, is defined as

$$S_{\text{R}} = \frac{1}{1-q} \ln \int_0^{\infty} f_X(x)^q dx \quad (2)$$

- Another popular generalization, the Havrda-Charvat-Tsallis (HCT) entropy [2,3], is defined as

$$S_{\text{HCT}} = \frac{1}{1-q} \left[ \int_0^{\infty} f_X(x)^q dx - 1 \right] \quad (3)$$

- For  $q \rightarrow 1$  both the Rényi and HCT entropies converge to the BGS entropy.

**Jaynes:** *finding the maximum entropy under given constraints -> the resulting probability distribution “is the least biased estimate possible on the given information...”.*

- Mathematically, the given information used in the principle of maximum entropy, is expressed as a set of constraints formed as expectations of functions  $g_j(\cdot)$  of  $X$ , i.e.,

$$E[g_j(x)] = \int_0^{\infty} g_j(x) f_X(x) dx = c_j, \quad j = 1, \dots, n \quad (4)$$

- The resulting maximum entropy distributions emerge by maximizing the selected form of entropy with constraints  $c_j$ , and with the additional constraint (to guarantee the legitimacy of the distribution)

$$\int_0^{\infty} f_X(x) dx = 1 \quad (5)$$

- The general solution of the maximum entropy distributions resulting from the maximization of BGS entropy and the HCT entropy (accomplished by using the method of Lagrange multipliers) are, respectively,

$$f_X(x) = \exp[-\lambda_0 - \sum_{j=1}^n \lambda_j g_j(x)] \quad (6)$$

$$f_X(x) = \{1 + (1 - q)[\lambda_0 + \sum_{j=1}^n \lambda_j g_j(x)]\}^{-1/(1-q)} \quad (7)$$

where  $\lambda_j$ , with  $j = 0, \dots, n$  are the Lagrange multipliers linked to the constraints.

## Generalized power function and p-moments:

- Here, we generalize the important notion of moments inspired by the limiting definition of the exponential function. We first define the generalized power function

$$x_p^q = \ln(1 + p x^q)/p \quad (8)$$

which for  $p \rightarrow 0$  becomes the familiar power function  $x^q$ . Thus, we can define a generalization of the classical moments, which we name  $p$ -moments of order  $q$  as

$$m_q^p = E(X_p^q) = \frac{1}{p} \int_0^\infty \ln(1 + p x^q) f_X(x) dx \quad (9)$$

Clearly, for  $p \rightarrow 0$ ,  $p$ -moments are identical to classical moments, i.e.,  $m_q^0 = m_q \equiv E(X^q)$ .

### Rationale:

- (\*) Generalized entropy measures have been successfully used; why not  $p$ -moments with the standard definition of entropy?
- (\*) Maximization of the BGS entropy using  $p$ -moments leads to flexible power-type distributions (including the Pareto and Tsallis distributions for  $q = 1$  and  $q = 2$ , respectively).
- (\*)  $p$ -moments are simple and, for  $p = 0$ , become identical to the ordinary moments.
- (\*) They exhibit similar properties with the  $\ln x$  function, and thus are suitable for positively skewed RVs; additionally, compared to  $E(\ln x)$  they are always positive.

**For interested:** more on this subject can be learned from

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Generalized power function:  $x_p^q = \ln(1 + p x^q) / p \xrightarrow{p \rightarrow 0} x^q$

p - moments of order q :  $m_q^p = E(X_p^q) = \frac{1}{p} \int_0^\infty \ln(1 + p x^q) f_X(x) dx$

$\xrightarrow{p \rightarrow 0} m_q \equiv E(X^q)$  classical moments



## 8. Entropy maximization based on $p$ -moments

The following table displays distributions (in terms of Lagrange multipliers  $\lambda_j$ ) arising from the maximization of the BGS entropy and by imposing constraints, (a) classical moments  $m_q$  of various orders, (b)  $p$ -moments or various orders, and (c) combinations of moments or  $p$ -moments with the expectation of  $\ln x$ . In all cases classical moments produce exponential-type distributions while  $p$ -moments produce power-type distributions.

Constraints	Distribution Name	Density function
$m_1$	Exponential	$f_X(x) = \exp(-\lambda_0 - \lambda_1 x)$
$m_2$	Half-Normal	$f_X(x) = \exp(-\lambda_0 - \lambda_1 x^2)$
$m_1$ and $m_2$	Normal	$f_X(x) = \exp(-\lambda_0 - \lambda_1 x - \lambda_2 x^2)$
$m_q$	Generalized Exponential	$f_X(x) = \exp(-\lambda_0 - \lambda_1 x^q)$
$E(\ln x)$ and $m_1$	Gamma	$f_X(x) = x^{-\lambda_1} \exp(-\lambda_0 - \lambda_2 x)$
$E(\ln x)$ and $m_q$	Generalized Gamma	$f_X(x) = x^{-\lambda_1} \exp(-\lambda_0 - \lambda_2 x^q)$
$m_1^p$	Pareto type II	$f_X(x) = \exp(-\lambda_0)(1 + px)^{-\lambda_1/p}$
$m_2^p$	Tsallis	$f_X(x) = \exp(-\lambda_0)(1 + px^2)^{-\lambda_1/p}$
$m_1^p$ and $m_2^p$	Not named	$f_X(x) = \exp(-\lambda_0)[(1 + px)^{\lambda_1}(1 + px^2)^{\lambda_2}]^{-1/p}$
$E(\ln x)$ and $m_1^p$	Beta of the second kind	$f_X(x) = \exp(-\lambda_0) x^{-\lambda_1}(1 + px)^{-\lambda_2/p}$
$E(\ln x)$ and $m_q^p$	Generalized Beta of the second kind	$f_X(x) = \exp(-\lambda_0) x^{-\lambda_1}(1 + px^q)^{-\lambda_2/p}$

## **(\*) Conclusions**

**From the examples presented here it should be realized that the widely discussed origin of Tsallis distribution as emerging from Tsallis entropy, is by no means the only possibility. It also arises from many nonthermal sources without really resorting to Tsallis entropy.**